FOREWORD

INTEGRATED CONTINGENCY PLAN

Portland Montreal Pipe Line (PMPL)

This Plan satisfies the following regulations / guidelines:

- U.S. EPA 40 CFR Part 112 (OPA 90)
- U.S. EPA 40 CFR Part 112.5 (SPCC)
- U.S.EPA 40 CFR 264 (RCRA)
- U.S. DOT 49 CFR 194 (OPA 90)
- USCG 33 CFR Part 154 (OPA 90)
- OSHA 29 CFR 1910.38(a) (Emergency Action Plan)
- OSHA 29 CFR 1910.120 (HAZWOPER)
- NEB Onshore Pipeline Regulations (SOR 99/294)
- Oil and Gas Occupational Safety and Health Regulations (SOR 87-612)
- Guidelines for Filing Requirements of the National Energy Board
- CAN/CSA-Z731-03 Emergency Preparedness and Response
- Planning of the emergency measures to ensure the safety of the workers: Guidance document for the development of emergency measures plan for the Industry, CSST

Prepared for:

PORTLAND PIPE LINE CORPORATION (PPL)
30 Hill Street
South Portland, ME 04106
and
MONTREAL PIPE LINE LTD (MPL)
10803, Sherbrooke St. East
Montreal (Quebec) H1B 1B3

***24 Hour Emergency: 1-866-253-7351* (U.S.)**
***1-888-977-4589* (CANADA)**

*Some materials is not available online due to its private (b)(6) or safety security sensitive (b)(7)(F) nature

Copy #_____

CG ICS SSP: 1910.120 COMPLIANCE CHECKLIST (Form B)	1. Incident Name	2. Date/Time Prepared	3. Operational Period	4. Site Supervisor/Leader	5. Location of Site

6.a. Cite: 1910.120	6.b. Requirement(sections that duplicate or explain are omitted)	6.c. ICS Form	6.d. Check	6.e. Comments
1910.120 (**b**)(1)(ii)(A)	Organizational structure?	203	☐	
(B)	Comprehensive workplan?	IAP	☐	Incident Action Plan
(C)	Site Safety Plan?	SSP-B	☐	
(D)	Safety and health training program?	N/A	☐	Responsibility of each employer
(E)	Medical surveillance program?	N/A	☐	Responsibility of each employer
(F)	Employer SOPs?	N/A	☐	Responsibility of each employer
(G)	Written program related to site activities?	N/A	☐	
(**b**)(1)(iii)	Site excavation meets shored or slope requirements in 1926?	N/A	☐	
(**b**)(2)(i)(D)	Lines of communication?	201 203 205	☐	
(**b**)3(iv)	Training addressed?	N/A	☐	Responsibility of each employer
(v)-(vi)	Information and medical monitoring addressed?	N/A	☐	Responsibility of each employer
(**b**)4(i)	Site Safety Plan kept on site?	N/A	☐	
(ii)(A)	Safety and health hazard analysis conducted?	N/A	☐	
(B)	Properly trained employees assigned to right jobs?	N/A	☐	
(C)	Personnel Protective Equipment issues addressed?	SSP-F	☐	
(E)	Frequency and types of air monitoring addressed?	SSP-E	☐	
(F)	Site control measures in place?	SSP-B	☐	
(G)	Decontamination procedures in place?	SSP-G	☐	
(H)	Emergency Response Plan in place?	SSP-D	☐	
(I)	Confined space entry procedures?	SSP-B	☐	
(J)	Spill containment program	SSP-B	☐	
(iii)	Pre-entry briefings conducted?	SSP-I	☐	
(iv)	Site Safety Plan effectiveness evaluated?	SSP-H	☐	
(**c**)(1)	Site characterization done?	N/A	☐	
(**c**)(2)	Preliminary evaluation done by qualified person?	N/A	☐	
(**c**)(3)	Hazard identification performed?	SSP-B	☐	
(**c**)(4)(i)	Location and size of site identified?	SSP-B	☐	
(ii)	Response activities, job tasks identified?	SSP-B	☐	
(iii)	Duration of tasks identified?	SSP-B	☐	Operational period
(iv)	Site topography and accessibility addressed?	SSP-C	☐	
(v)	Health and safety hazards addressed?	SSP-B	☐	
(vi)	Dispersion pathways addressed?	SSP-B	☐	
(vii)	Status and capabilities of medical emergency response teams?	206	☐	
(**c**)(5)(i)(iv)	Chemical protective clothing addressed and properly selected?	SSP-F	☐	
(ii)	Respiratory protection addressed?	SSP-B and F	☐	
(iii)	Level B used for unknowns?	N/A	☐	

CG ICS SSP: 1910.120 COMPLIANCE CHECKLIST Form B (cont)	1. Incident Name	2. Date/Time Prepared	3. Operational Period		
6.a. Cite: 1910.120	6.b. Requirement(sections that duplicate or explain are omitted)		6.c. ICS Form	6.d. Check	6.e. Comments
1910.120 (c)(6)(i)	Monitoring for ionization conducted?		SSP-E	☐	
(ii)	Monitoring conducted for IDLH conditions?		SSP-E	☐	
(iii)	Personnel looking out for dangers of IDLH environments?		N/A	☐	
(iv)	Ongoing air monitoring program in place?		SSP-E	☐	
(c)(7)	Employees informed of potential hazard occurrence?		SSP-B	☐	
(c)(8)	Properties of each chemical made aware to employees?		SSP-B	☐	
(d)(1)	Appropriate site control procedures in place?		IAP, SSP-B	☐	
(d)(2)	Site control program developed during planning stages?		IAP, SSP-B	☐	
(d)(3)	Site map, work zones, alarms, communications addressed?		IAP, SSP-B	☐	
(g)(1)(i)	Engineering, admin controls considered?		SSP-B	☐	
(iii)	Personnel not rotated to reduce exposures?		N/A	☐	
(g)(5)(i)	PPE selection criteria part of employer's program?		N/A	☐	Responsibility of employer
(ii)	PPE use and limitations identified?		SSP-F	☐	
(iii)	Work mission duration identified?		SSP-F	☐	
(iv)	PPE properly maintained and stored?		N/A	☐	Responsibility of employer
(vi)	Are employees properly trained and fitted with PPE?		N/A	☐	Responsibility of employer
(vii)	Are donning and doffing procedures identified?		SSP-F	☐	
(viii)	Are inspection procedures properly identified?		SSP-F	☐	
(ix)	Is a PPE evaluation program in place?		SSP-F	☐	
(h) (3)	Periodic monitoring conducted?		SSP-E	☐	
(k)(2)(i)	Have decontamination procedures been established?		SSP-G	☐	
(ii)	Are procedures in place for contamination avoidance?		SSP-G	☐	
(iii)	Is personal clothing properly deconned prior to leaving the site?		SSP-G	☐	
(iv)	Are decontamination deficiencies identified and corrected?		SSP-H	☐	
(k)(3)	Are decontamination lines in the proper location?		SSP-C	☐	
(k)(4)	Are solutions/equipment used in decon properly disposed of?		N/A	☐	
(k)(6)	Is protective clothing and equipment properly secured?		N/A	☐	
(k)(7)	If cleaning facilities are used, are they aware of the hazards?		N/A	☐	
(k)(8)	Have showers and change rooms provided, if necessary?		N/A	☐	
(l)(1)(iii)	Are provisions for reporting emergencies identified?		SSP-D	☐	
(iv)	Are safe distances and places of refuge identified?		SSP-B and C	☐	
(v)	Site security and control addressed in emergencies?		SSP-D	☐	
(vi)	Evacuation routes and procedures identified?		SSP-D	☐	
(vii)	Emergency decontamination procedures developed?		SSP-D	☐	
(ix)	Emergency alerting and response procedures identified?		SSP-D	☐	
(x)	Response teams critiqued and followup performed?		SSP-H	☐	
(xi)	Emergency PPE and equipment available?		SSP-D	☐	

CG ICS SSP: 1910.120 COMPLIANCE CHECKLIST Form B (cont)	1. Incident Name	2. Date/Time Prepared	3. Operational Period		
6.a. Cite:	6.b. Requirement(sections that duplicate or explain are omitted)		6.c. ICS Form	6.d. Check	6.e. Comments
1910.120 (l)(3)(i)	Emergency notification procedures identified?		SSP-D	☐	
(ii)	Emergency response plan separate from Site Safety Plan?		SSP-D	☐	
(iii)	Emergency response plan compatible with other plans?		SSP-D	☐	
(iv)	Emergency response plan rehearsed regularly?		SSP-D	☐	
(v)	Emergency response plan maintained and kept current?		SSP-H	☐	
1910.165 (**b**)(2)	Can alarms be seen/heard above ambient light and noise levels?		N/A	☐	
(**b**)(3)	Are alarms distinct and recognizable?		N/A	☐	
(**b**)(4)	Are employees aware of the alarms and are they accessible?		SSP-D	☐	
(**b**)(5)	Are emergency phone numbers, radio frequencies clearly posted?		206	☐	
(**b**)(6)	Signaling devices in place where there are 10 or more workers?		IAP	☐	
(**c**)(1)	Are alarms like steam whistles, air horns being used?		IAP	☐	
(**d**)(3)	Are backup alarms available?		IAP	☐	
(**m**)	Are areas adequately illuminated?		IAP	☐	
(**n**)(1)(i)	Is an adequate supply of potable water available?		IAP	☐	
(ii)	Are drinking water containers equipped with a tap?		IAP	☐	
(iii)	Are drinking water containers clearly marked?		IAP	☐	
(iv)	Is a drinking cup receptacle available and clearly marked?		IAP	☐	
(**n**)(2)(i)	Are non-potable water containers clearly marked?		IAP	☐	
(**n**)(3)(i)	Are their sufficient toilets available?		IAP	☐	
(**n**)(4)	Have food handling issues been addressed?		IAP	☐	
(**n**)(6)	Have adequate wash facilities been provided outside hazard zone?		IAP	☐	
(**n**)(7)	If response is greater than 6 months, have showers been provided?		IAP	☐	
7. Prepared By:			**ICS-208-CG SSP-K (rev 9/06): Page 3.** Page _____ of _____		

CG ICS SSP: 1910.120 DRUM COMPLIANCE CHECKSHEET	1. Incident Name	2. Date/Time Prepared	3. Operational Period	4. Safety Officer (include method of contact)
5. Supervisor/Leader	6. Location and Size of Site	7. For Emergencies Contact:		8. Note: tanks and vaults should also be treated in the same manner as described below [1910.120(j)(9)]. Many can also pose confined space hazards.

9.a. Cite: 1910.120 (Cites that duplicate or explain requirements are omitted)	9.b. Requirement	9.c. Check	9.d. Comments
(j)(1)(ii)	Drums meet DOT, OSHA, EPA regs for waste they contain, including shipment?	☐	
(iii)	Drums inspected and integrity ensured prior to movement?	☐	
(iii)	Or drums moved to an accessible location (staging area) prior to movement?	☐	
(iv)	Unlabelled drums treated as unknown until properly identified and labeled?	☐	
(v)	Site activities organized to minimize drum handling?	☐	
(vi)	Employers properly warned about the hazards of moving and handling drums?	☐	
(vii)	Suitable overpack drums are available for addressing leaking and ruptured drums?	☐	
(viii)	Leaking materials from drums properly contained?	☐	
(ix)	Are drums that cannot be moved, emptied of contents with transfer equipment?	☐	
(x)	Are suspect buried drums surveyed with underground detection system?	☐	
(xi)	Are soil and covering material above buried drums removed with caution?	☐	
(xii)	Is the proper extinguishing equipment on scene to control incipient fires?	☐	
(j)(2)(i)	Are airlines on supplied air systems protected from leaking drums?	☐	
(ii)	Are employees at a safe distance, using remote equipment, when handling explosive drums?	☐	
(iii)	Are explosive shields in plane to protect workers opening explosive drums?	☐	
(iv)	Is response equipment positioned behind shields when shields are used?	☐	
(v)	Are non-sparking tools used in flammable or potentially flammable atmospheres?	☐	
(vi)	Are drums under extreme pressure opened slowly & workers protected by shields/distance?	☐	
(vii)	Are workers prohibited from standing and working on drums?	☐	
(j)(3)	Is the drum handling equipment positioned and operated to minimize sources of ignition?	☐	
(j)(5)(i)	For shock sensitive drums, have all non-essential employees been evacuated?	☐	
(ii)	For shock sensitive drums: is handling equipment provided with shields to protect workers?	☐	
(iii)	Are alarms that announce start/finish of explosive drum handling actions in place?	☐	
(iv)	Are continuous communications in place between the drum handling site & command post?	☐	
(v)	Are drums under pressure properly controlled for prior to handling?	☐	
(vi)	Are drums containing packaged laboratory wastes treated as shock sensitive?	☐	
(j)(6)(i)	Are lab packs opened by trained and experienced personnel?	☐	
(ii)	Are lab packs showing crystallization treated as shock sensitive?	☐	
(j)(8)(ii-iii)	Are drum staging areas manageable with marked access and egress?	☐	
(iv)	Is bulking of drums conducted only after drum contents have been properly identified?	☐	

10. Prepared By:	**Form SSP-L (rev 9/06)** Page _____ of _____

1. Incident Name	2. Operational Period (Date / Time) From: To: Time of Report	INCIDENT STATUS SUMMARY ICS 209-CG

3. Type of Incident

☐	Oil Spill	☐	HAZMAT	☐	AMIO
☐	SAR/Major SART	☐	SI/Terrorism	☐	Natural Disaster
☐	Marine Disaster	☐	Civil Disturbance	☐	Military Outload
☐	Planned Event	☐	Maritime HLS/Prevention	☐	

4. Situation Summary as of Time of Report:

5. Future Outlook/Goals/Needs/Issues:

6. Safety Status/Personnel Casualty Summary

	Since Last Report	Adjustments To Previous Op Period	Total
Responder Injury			
Responder Death			
Public Missing (Active Search)			
Public Missing (Presumed Lost)			
Public Uninjured			
Public Injured			
Public Dead			
Total Public Involved			

7. Property Damage Summary

Vessel	$
Cargo	$
Facility	$
Other	$

8. Attachments with clarifying information

☐	Oil/HAZMAT	☐	SAR/LE	☐	
☐		☐		☐	
☐	Marine Disaster	☐	Civil Disturbance	☐	Military Outload

9. Equipment Resources Kind	Notes	# Ordered	# Available	# Assigned	# Out of Service
USCG Assets					
Aircraft – Helo					
Aircraft – Fixed Wing					
Vessels – USCG Cutter					
Vessels – Boat					
Vehicles – Car					
Vehicles – Truck					
Pollution Equip – VOSS/SORS					
Pollution Equip – Portable Storage					
Pollution Equip – Boom					
Non-CG/Other Assets					
Aircraft – Helo					
Aircraft – Fixed Wing					
Vessels – SAR/LE Boat					
Vessels – Work/Crew Boat					
Vessels – Tug/Tow Boat					
Vessels – Pilot Boat					
Vessels – Deck Barge					
Vessels –					
Vehicles – Car					
Vehicles – Ambulance					
Vehicles – Truck					
Vehicles – Fire/Rescue/HAZMAT					
Vehicles – Vac/Tank Truck					
Vehicles –					
Pollution Equip – Skimmers					
Pollution Equip – Tank Vsl/ Barge					
Pollution Equip – Portable Storage					
Pollution Equip – OSRV					
Pollution Equip – Boom					
Pollution Equip –					

10. Personnel Resources Agency	Total # of People
USCG	
DHS (other than USCG)	
NOAA	
FBI	
DOD (USN Supsalv, CST, etc.)	
DOI (US Fish & Wildlife, Nat Parks, BLM, etc.)	
RP	
State	
Local	
Total Personnel Resources Used From all Organizations:	

11. Prepared by:	Date/Time Prepared:

1. Incident Name	2. Operational Period (Date / Time) From: To: Time of Report	ICS 209-CG OIL/HAZMAT ATTACHMENT

3. HAZMAT/Oil Spill Status (Estimated, in gallons)

Common Name(s):

UN Number:	☐ Secured ☐ Unsecured
CAS Number:	Remaining Potential (bbl):
	Rate of Spillage (bbl/hr):

	Adjustments To Previous Operational Period	Since Last Report	Total
Volume Spilled/Released			
Mass Balance - HAZMAT/Oil Budget			
Recovered HAZMAT/Oil			
Evaporation/Airborne			
Natural Dispersion			
Chemical Dispersion			
Burned			
Floating, Contained			
Floating, Uncontained			
Onshore			
Total HAZMAT/Oil accounted for:	N/A	N/A	

Comments:

4. HAZMAT/Oil Waste Management (Estimated, Since Last Report)

	Recovered	Disposed	Stored
HAZMAT/Oil (bbl)			
Oily Liquids (bbl)			
Liquids (bbl)			
Oily Solids (tons)			
Solids (tons)			

Comments:

5. HAZMAT/Oil Shoreline Impacts (Estimated in miles)

Degree of Impact	Affected	Cleaned	To Be Cleaned
Light			
Medium			
Heavy			
Total			

Comments:

6. HAZMAT/Oil Wildlife Impacts (Since Last Report)

Type of Wildlife	Captured	Cleaned	Released	DOA	Died in Facility	
					Euthanized	Other
Birds						
Mammals						
Reptiles						
Fish						
Total						

Comments:

7. Prepared by:	Date/Time Prepared:

1. Incident Name	2. Operational Period (Date / Time) From: To: Time of Report	ICS 209-CG SAR/LE ATTACHMENT

3. Evacuation Status	Since Last Report	Adjustments To Previous Operational Period	Total
Total to be Evacuated			
Number Evacuated			

4. Migrant Interdiction Status	Since Last Report	Adjustments To Previous Op Period	Total
Vessels Interdicted			
Migrants Interdicted at Sea			
Migrants Interdicted Ashore			
Injured			
MEDEVAC'd			
Deaths			
Migrants Repatriated			

5. Sorties/Patrols Summary (List of Sorties Since Last Report)

Air	Since Last Report	Total
Number of Sorties/Patrols		
Area Covered (square miles)		
Total Time On-Scene (In Hours)		
Surface	Since Last Report	Total
Number of Sorties/Patrols		
Area Covered (square miles)		
Total Time On-Scene (In Hours)		

6. Use of Force Summary

Category	Since Last Report	Total
III - Soft Empty Hand Control		
IV - Hard Empty Hand Control		
V - Intermediate Weapons		
VI - Deadly Force		
VSL - Force to Stop Vessel from Cutter/Boat		
A/C - Force to Stop Vessel From Aircraft		
Arrests		
Seizures		
Deaths		

7. Operational Controls Summary

Currently In Force

Type	Initiating Unit	Initiated Date	Activity #

Removed Since Last Report

Type	Initiating Unit	Initiated Date	Date Removed	Activity #

18. Prepared by:	Date/Time Prepared:

INCIDENT STATUS SUMMARY (ICS FORM 209-CG)

Purpose. The Status Summary:
1. Is used by Situation Unit personnel for posting information on Status Boards or attaching as a file to the MISLE Case.
2. Is duplicated and provided to Command Staff members, giving them basic information for planning for the next operational period.
3. Provides information to the Information Officer for preparing news media releases.
4. Summarizes incident information for local and off-site coordination/operations centers.

Preparation. The Situation Unit prepares the Status Summary. Resources information should be obtained from the Resources Unit. It may be scheduled for presentation to the Planning Section Chief and other General Staff members prior to each Planning Meeting and may be required at more frequent intervals by the Unified Command or Planning Section Chief. Suggested sources of information are noted in brackets.
Note: The values on the ICS form 209-CG are the **best available estimates at the Time of Report** (Item # 2 on form). This form is usually in high demand and should be filled out early and often. A suggested source within the ICS organization is noted in brackets [ ] at the top right of each section of the form. **All fields need not be completed in order to distribute the form.**

Distribution. When completed, the form is duplicated and copies are distributed to the Unified Command and staff, and all Section Chiefs, Planning Section Unit Leaders, and the Joint Information Center. It is also posted on a status board located at the ICP. All completed original forms MUST be given to the Documentation Unit.

How to Save and Use the Word Template Form:
The 209 template (.dot file) can be edited to match most incident situations and can be saved into the Word template directory. Open the blank 209 (ICS 209 CG.dot) – do not add any content. Save the blank in the Templates directory. Create a new 209 from File>new picking the 209 template. Type in the file to add any desired content and use "save as" to save the work using a new file name. The file will automatically become a .doc file.

Comments: Please send comments/corrections about this form to the ICS Program Manager, Ms. Kristy Plourde, email: kplourde@tcyorktown.uscg.mil

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Period Covered by Report	Enter the date and time interval for which the report applies. Use 24-hour clock for all times.
	Time of Report	Enter time for which this information applies. Enter the Time (24-hour clock) the form was prepared.
3.	Type of Incident	Indicate (check box) and/or fill-in the type of incident(s).
4.	Situation Summary	Summary of current situation at time of report.
5.	Future Outlook	This section is for the IC/UC to discuss/project their future outlook, goals, requirements, needs and issues.
6.	Safety Status/Personnel Casualty	This information pertains to responders and assisted public personnel. Indicate the number of serious injuries, death, and missing. Values entered in the column labeled since Last Report are from the start of the

		Period Covered by Report (Item 2) to the time entered in the Time of Report (Item 2).
7.	Property Damage	Enter estimated dollar values for each item, if known.
8.	Attachments	Indicate (check box) and/or fill-in the attachment(s) the help further clarify the incident status.
9.	Equipment Resources	Indicate the number of each type of resource in each status category. There are blank lines below each general type of resource for additional equipment.
	Ordered	Ordered but not yet arrived/available.
	Available	Arrived on scene, stored in staging, not assigned to any task, available for use.
	Assigned	Assigned to a specific task.
	Out of Service	Not working and not assigned to any task (e.g., skimmer being repaired, boom broken, personnel off-duty for rest).
10.	Personnel Resources	Indicate, by agency, the numbers of personnel assigned. There are blank lines for additional personnel, as needed.
11.	Prepared By	Enter name and title of the person preparing the form, normally the Situation Unit Leader.

OIL/HAZMAT ATTACHMENT

1.	Incident Name	Enter the name assigned to the incident.
2.	Period Covered by Report	Enter the date and time interval for which the report applies. Use 24-hour clock for all times.
	Time of Report	Enter time for which this information applies. Enter the Time (24-hour clock) the form was prepared.
3.	Spill Status	This information is only tracked if there is spilled HAZMAT or Oil. Enter Common Name(s) of the released substance or spilled oil (i.e. Ethyl Alcohol/Ethanol or No. 2 Fuel Oil/Light Fuel Oil). Enter UN number and CAS Registry number, if known. Indicate whether the spill source is secured or unsecured (check box) and estimate the remaining potential and the rate of spillage discharge or release. Enter the estimated amounts in barrels for each category. Values entered in the column labeled Since Last Report are from the start of the Period Covered by Report (Item 2) to the time entered in the Time of Report (Item 2).
	Mass Balance	This information is only tracked if there is spilled HAZMAT or Oil whether recovered, evaporated, dispersed, burned, floating, or on shore. The total of these estimates should approximate the total volume spilled, discharged, or released. Values for evaporation, dispersion, etc. can be obtained from the Environmental Unit and/or the Scientific Support Coordinator (SSC).
4.	Waste Management	This information is only tracked if there is spilled HAZMAT or Oil. Enter the estimated amounts in barrels or tons for each category. Total HAZMAT/ Oil (bbl) is the sum of the estimate of HAZMAT/oil in oily

		liquids and HAZAMT/oil in oily solids, and is the value to be entered under "Recovered HAZMAT/Oil" in Item 4.
5.	Shoreline Impacts	This information is only tracked if there is spilled HAZMAT or Oil. Enter the total miles in each category for each degree of oiling. Definitions for Light, Medium, and Heavy oiling can be obtained from the EUL/SSC and should be consistent throughout the incident.
6.	Wildlife Impacts	This information is only tracked after an animal is captured. Indicate the actual number of oiled wildlife in each category. Use numbers in parentheses to indicate the subtotal of threatened / endangered species included in the numbers given.
7.	Prepared By	Enter name and title of the person preparing the form, normally the Situation Unit Leader.

SAR/LE ATTACHMENT

1.	Incident Name	Enter the name assigned to the incident.
2.	Period Covered by Report	Enter the date and time interval for which the report applies. Use 24-hour clock for all times.
	Time of Report	Enter time for which this information applies. Enter the Time (24-hour clock) the form was prepared.
3.	Evacuation Status	This information is only tracked if the incident involves evacuation of personnel. Values entered in the column labeled Since Last Report are from the start of the Period Covered by Report (Item 2) to the time entered in the Time of Report (Item 2).
4.	Migrant Interdiction Status	This information is only tracked if the incident involves Migrant Interdiction. Values entered in the column labeled Since Last Report are from the start of the Period Covered by Report (Item 2) to the time entered in the Time of Report (Item 2).
5.	Sorties/Patrols	This information is only tracked if the incident involves sorties tracked in MISLE Incident Management Activity. List Sorties since last report both Air and Surface. Values entered in the column labeled since Last Report are from the start of the Period Covered by Report (Item 2) to the time entered in the Time of Report (Item 2).
6.	Use of Force	This information is only tracked if the incident involves Use of Force activities. Values entered in the column labeled since Last Report are from the start of the Period Covered by Report (Item 2) to the time entered in the Time of Report (Item 2).
7.	Operational Controls	This information is only tracked if the incident involves Operational Control activities initiated, in force and removed.
8.	Prepared By	Enter name and title of the person preparing the form, normally the Situation Unit Leader.

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1. Incident Name	2. Operational Period (Date / Time) From: To: Time of Report	INCIDENT STATUS SUMMARY ICS 209H-CG

3. Type of Incident: Hurricane and Severe Weather Response

4. Situation Summary:

5. On Scene Weather:

6. Future Outlook/Goals/Needs/Issues:

7. Post Landfall Personnel Accountability (Active Duty, Reserves, Dependents and Auxiliary):

_____ Percent accounted for in the storm impact area. Exceptions are as follows:

Unit	Total	Unaccounted	% Accounted For

8. Critical Resources Status: CG Members mobilized in response to incident:

Officers (Active Duty)		Enlisted (Active Duty)		Civilian	
Officers (Reserve)		Enlisted (Reserve)		CG Auxiliary	

9. Critical Resources Status: Personnel Casualties: (i.e. reference msg traffic. No names or ID#'s)

10. Attachments with clarifying information

☐	Oil/HAZMAT	☐	Civil Disturbance	☐	SAR/LE
☐	Marine Disaster	☐	Military Outload	☐	Other:

11. Critical Resource Status: D7 Major Shore Command HURRICANE CONDITION	
Unit Name	**HURCON (1,2,3 or 4) Ordered/Obtained**
SECTOR Charleston	
MSU Savannah	
AIRSTA Savannah	
SECTOR Jacksonville	
SECTOR Miami	
AIRSTA Miami	
ISC Miami	
SECTOR Key West	
SECTOR San Juan	
AIRSTA Borinquen	
SECTOR St. Petersburg	
AIRSTA Clearwater	

12. D7 Port Status	
Port Name	**Port Condition (4, X, W, Y, or Z)**
Georgetown	
Charleston	
Port Royal	
Brunswick	
Savannah	
Jacksonville	
Fernandina	
Canaveral	
Palm Beach	
Port Everglades	
Miami	
Key West	
Tampa	
St. Petersburg	
Manatee	

13. Significant Waterway/ATON Status (i.e. closures, restrictions)
SECTOR :
SECTOR :
SECTOR :

14. Operational Summary	
Aircraft sorties for the last reporting period	
Aircraft lives saved forth last reporting period	
Aircraft MEDEVACS for the last reporting period	
Surface sorties for the last reporting period	
Surface lives saved for the last reporting period	
Surface MEDEVACS for the last reporting period	
Cumulative D7 Sorties	
Cumulative lives saved in D7	
Cumulative D7 MEDEVACS	
Total D7 Saves/Evacuations	

15. Critical Resources Status Summary

Kind	Notes	# Assigned (Alpha)	# Available (Bravo)	# Out of Service (Charlie)	# Ordered
USCG Assets					
Aircraft – Helo - HH-60					
Aircraft – Helo - HH-65					
Aircraft – Helo - MH-68 (HITRON)					
Aircraft – Fixed Wing - HU-25					
Aircraft – Fixed Wing - C130					
Vessels – USCG Cutter - WLB					
Vessels – USCG Cutter - WLM					
Vessels – USCG Cutter - WPB					
Vessels – USCG Cutter					
Vessels – USCG Cutter					
Boats – CG MLB 47'					
Boats – CG UTB 41'					
Boats – CG SPC (LE) 33'					
Boats – CG RB-S 25'					
Boats – CG UTL 25' or less					
Boats – CG TANB					
Boats – CG Aux					

16. Critical Resource Status: USCG Facilities (significant damage and/or impact):

SECTOR :

SECTOR :

SECTOR :

17. Critical Resource Status: Communications (significant damage and/or impact):

SECTOR : (xxxx VHF High site down or xxxx site no DF capability)

SECTOR :

18. Critical Infrastructure Status (significant damage and/or impact):

SECTOR :

SECTOR :

19. Environmental Response:

Pollution Cases Opened	
Pollution Cases Closed	

SECTOR :

SECTOR :

20. External and Public Affairs:

District 7:

SECTOR :

21. Logistics:

22. Additional Comments : (Reference block number)

23. Command Comments (Strategies, concerns, etc.)

24. Prepared by:	**Date/Time Prepared:**

RADIO REQUIREMENTS WORKSHEET

1. Incident Name	2. Date	3. Time

4. Branch	5. Agency	6. Operational Period	7. Tactical Frequency

8. Division/Group	Division/Group	Division/Group	Division/Group
Agency	Agency	Agency	Agency

9. Agency	ID No.	Radio Requirements	Agency	ID No.	Radio Requirements	Agency	ID No.	Radio Requirements	Agency	ID No.	Radio Requirements

Page 1 of	10. Prepared by (Name and Position)

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ICS-211-CG CHECK-IN LIST	1. INCIDENT NAME:	2. CHECK-IN LOCATION: ☐ BASE ☐ CAMP ____ ☐ STAGING AREA ____ ☐ ICP ☐ OTHER ____	3. DATE/TIME:

CHECK-IN INFORMATION

4 LIST PERSONNEL (OVERHEAD) BY AGENCY NAME – OR LIST EQUIPEMENT BY THE FOLLOWING FORMAT: S=Supplies H=Helicopter O=Overhead VL=Vessels E=Equipment C=Crew A=Aircraft VH=Vehicle					5.	6.	7.	8.	9.	10.	11.	12.	13.	14.	15.
AGENCY	SINGLE ST/ TF	KIND	TYPE	ID NO. /NAME – RESOURCE ID	ORDER/ NUMBER	DATE/TIME CHECK-IN	LEADER'S NAME	TOTAL NO. PERSONNEL	INCIDENT CONTACT INFORMATION	INCIDENT LODGING INFO/CONTACT INFO	HOME UNIT	METHOD OF TRAVEL	INCIDENT ASSIGNMENT	OTHER QUALIFICATION	SENT TO RESTAT TIME/INT

16. ICS 211-CG PAGE ____ of ____	17. PREPARED BY (Name and Position) USE BACK FOR REMARKS OR COMMENTS

CHECK-IN LIST (ICS 211-CG)

Purpose. Personnel and equipment arriving at the incident can check in at various incident locations. Check-in consists of reporting specific information, which is recorded on the form.

Preparation. The Check-In List is initiated at a number of incident locations including staging areas, base camps, helibases, and ICP. Managers at these locations record the information and give it to the Resources Unit as soon as possible.

Distribution. Check-In Lists are provided to both the Resources Unit and the Finance Section. The Resources Unit maintains a master list of all equipment and personnel that have reported to the incident. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Check-In Location	Enter the name of the check-in location.
3.	Date/Time	Enter date (month, day, year) and time prepared (24-hour clock).
4.	Agency	Enter agency name or agency designator (USCG for U.S. Coast Guard)
	Single/ST/TF	Enter whether resource is Single, part of Task Force (TF) or Strike Team(ST).
	Kind	Enter kind of resource using format listed for followed by sub-kind (e.g. workboat would be VL-WB).
	Type	Enter type of resource (1-4).
	Resource Identifier	Enter individual names for all overhead personnel. When listing equipment, use name or designator, indicate if resource is a single resource, task force or strike team;
5.	Order Number	Order number will be assigned by Agency dispatching the resources or personnel to the incident.
6.	Date/Time Check-In	Enter date (month, day, year) and time (24-hour clock) of check-in.
7.	Leader's Name	Self-explanatory.
8.	Total # Personnel	Enter total number of personnel in strike teams, task forces or manning single resources. Include leaders.
9.	Contact Information	Enter contact information while at the incident (e.g. cell phone, pager, radio, etc.)
10.	Lodging/Contact Info	Enter lodging location and phone number/contact info while at the incident.
11.	Home Unit	Location from which resource / individual departed for this incident.
12.	Method of Travel	Means of travel to incident (bus, truck, engine, personal vehicle, etc.)
13.	Incident Assignment	Enter location at which the resource / individual is normally assigned.
14.	Other Qual	Enter Other Qualifications held.
15.	Sent to Restat	Enter initials and time that the info. Pertaining to that entry was sent to the Resources Unit.
16.	Page	Indicate page no. and no. of pages being used for Check-In at this location.
17.	Prepared By	Enter the name of the person completing the form and position held.

Note: Use back for remarks or comments, including Other Qualifications or any other ICS position the individual has been trained to fill.

Resource Request Message

Purpose: The 213RR CG is used by all incident personnel to request tactical and non-tactical resources.

ICS-213 RR CG (2/07)

Requestor

1. Incident Name:
2. Date/Time:
3. Resource Request Number:
4. ORDER Note: Use additional forms when requesting different resource sources of supply

a. Qty	b. Kind	c. Type	d. Priority U or R	e. Detailed item description (vital characteristics, brand, specs, experience, etc.) and, if applicable, purpose/use, diagrams, and other info.	f. Requested Reporting Location:	f. Requested Reporting Date/Time:	g. Order # (LSC)	h. ETA (LSC)	i. Cost

5. Suggested source(s) of supply - POC phone number if known and suitable subtitutes:

6. Requestor Position and Signature: Date/Time:

7. Section Chief/Command Staff Approval: Date/Time:

Plans

8. RESL - check box (a) if request is for tactical or personnel resources. Then note availability in box 8.b or 8.c.

a. ☐ b. ☐ Resources available as noted in block 12

c. ☐ Resources not available

9. RESL Review/Signature: Date/Time:

Logistics

10. Requisition/Purchase Order #:

11. Supplier Name/Phone/Fax/Email:

12. Notes:

13. Logistics Section Signature: Data/Time:

14. Order placed by (check box): ☐ SPUL ☐ PROC ☐ OTHER _____

Finance

15. Reply/Comments from Finance:

16. Finance Section Signature: Date/Time:

Full instructions on back page. Requestor fills in blocks 1-5, except # 3 & # 4.g-i (shaded area), signs block 6 (do not forget position), gets appropriate Section Chief or Command Staff approval in block 7, and keeps yellow copy (bottom). If applicable, RESL reviews if resource available, signs block 9 and keeps blue copy. Logistics fills in block 4.g and h, and blocks 10-13, and keeps orange copy. Orderer (LSC or FSC) fills in block 4.i. Finance fills in blocks 15 - 16 and keeps green copy. Pink copy is returned to RESL for tactical/personnel or requestor for non-tactical. White copy goes to DOCL.

GENERAL MESSAGE		
TO:	POSITION:	
FROM:	POSITION:	
SUBJECT:	DATE:	TIME:
MESSAGE:		
SIGNATURE:	POSITION:	
REPLY:		
DATE:	TIME:	SIGNATURE/POSITION:

1. Incident Name	2. Operational Period (Date/Time) From: To:	UNIT LOG ICS 214-CG
3. Unit Name/Designators	4. Unit Leader (Name and ICS Position)	

5. Personnel Assigned

NAME	ICS POSITION	HOME BASE

6. Activity Log (Continue on Reverse)

TIME	MAJOR EVENTS

7. Prepared by: **Date/Time**

1. Incident Name	**2. Operational Period (Date/Time)** From: To:	**UNIT LOG (CONT.)** **ICS 214-CG**

6. Activity Log (Continue on Reverse)

TIME	MAJOR EVENTS

7. Prepared by: **Date/Time:**

UNIT LOG (ICS FORM 214-CG)

Purpose. The Unit Log records details of unit activity, including strike team activity or individual activity. These logs provide the basic reference from which to extract information for inclusion in any after-action report.

Preparation. A Unit Log is initiated and maintained by Command Staff members, Division/Group Supervisors, Air Operations Groups, Strike Team/Task Force Leaders, and Unit Leaders. Completed logs are submitted to supervisors who forward them to the Documentation Unit.

Distribution. The Documentation Unit maintains a file of all Unit Logs. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Check-In Location	Enter the time interval for which the form applies. Record the start and end date and time.
3.	Unit Name/Designators	Enter the title of the organizational unit or resource designator (e.g., Facilities Unit, Safety Officer, Strike Team).
4.	Unit Leader	Enter the name and ICS Position of the individual in charge of the Unit.
5.	Personnel Assigned	List the name, position, and home base of each member assigned to the unit during the operational period.
6.	Activity Log	Enter the time and briefly describe each significant occurrence or event (e.g., task assignments, task completions, injuries, difficulties encountered, etc.)
7.	Prepared By	Enter name and title of the person completing the log. Provide log to immediate supervisor, at the end of each operational period.
	Date/Time	Enter date (month, day, year) and time prepared (24-hour clock).

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OPERATIONAL PLANNING WORKSHEET

1. INCIDENT NAME	2. DATE & TIME PREPARED	3. OPERATIONAL PERIOD (DATE & TIME)

4. DIVISION/ GROUP/ OTHER LOCATION	5. WORK ASSIGNMENTS	6. KINDS OF RESOURCES		7. OVERHEAD	8. SPECIAL EQUIPMENT & SUPPLIES	9. REPORTING LOCATION	10. REQUESTED ARRIVAL TIME
		REQ					
		HAVE					
		NEED					
		REQ					
		HAVE					
		NEED					
		REQ					
		HAVE					
		NEED					
		REQ					
		HAVE					
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		HAVE					
		NEED					
		REQ					
		HAVE					
		NEED					
		REQ					
		HAVE					
		NEED					
ICS 215 USCG 12-02	11. TOTAL RESOURCES REQUIRED			14. PREPARED BY (NAME & POSITION)			
	12. TOTAL RESOURCES ON HAND						
	13. TOTAL RESOURCES NEEDED						

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INCIDENT ACTION PLAN SAFETY ANALYSIS

1. Incident Name	2. Date/Time Prepared

3. DIVISION/ GROUP/ OTHER LOCATION	4. Work Assignments	5. Gain	6. HAZARDS	7. CONTROLS	8. ORM SEVERITY	8. ORM PROBABILITY	8. ORM EXPOSURE	8. ORM GAR
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				
		Human Health Security Environment Economy	Check	Check				

ICS-215A-CG
(rev 6/06)

Operational Risk Management Key

Scale	1	2	3	4	5
Severity	Slight	Minimal	Signif-icant	Major	Catas-trophic
Probability	Remote	Un-likely	50/50	>50	Very Likely
Exposure	Below Avg	Avg	Above Avg	Great	N/A

Gar Scale

#	1-19	20-39	40-59	60-79	80-100
Risk	Slight	Possible	Substantial	High	Very High
Color	Green	Amber	Red	Red	Red
Action	Possibly Acceptable	Attention Needed	Correction Required	Immediate Correction	Discontinue/ Stop

9. Prepared by (Name and Position)

INCIDENT ACTION PLAN SAFETY ANALYSIS (ICS-215A-CG (rev 6/06))
Instructions for filling out the form

Purpose: The purpose of this worksheet is to aid the Safety Officer in completing an operational risk assessment to prioritize hazards and develop appropriate controls.

Preparation: During the Incident Action Planning cycle where the Operations Section Chief (OSC) is preparing for the tactics meeting, the Safety Officer works alongside the OSC and completes the Incident Action Plan Safety Analysis. This sheet mirrors the ICS 215 form. Work assignments are listed along with associated hazards. A calculation is made that determines what level of risk each work assignment poses. For those assignments having significant risk, controls are developed for safeguarding responders. The net risk is evaluated against the gain. The Incident Commander should be alerted to all safety hazards that receive an amber or red GAR rating after controls have been established.

Distribution: The Operational Hazard Worksheet is attached to the Incident Site Safety Plan and is distributed according to the instruction for Site Safety Plans.

Instructions:

Item #	Item Title	Instructions
1	Incident Name	Print the name assigned to the incident.
2	Date/Time Prepared	Enter date (month, day, year) and time prepared.
3	Division/Group	Enter the Branch, Division or Group title in abbreviated form.
4	Work Assignment	List the work assignment for each Branch, Division or Group.
5	Gain	Check the gain that is achieved when the work assignment is accomplished.
6	Hazards	Using the IAP Safety Analysis Aid (page 2), list the type of hazards likely to be encountered for the work assignment. Place a check mark in the box below the hazard.
7	Controls	Using the IAP Safety Analysis Aid (page 2), list the type of controls likely to be used for addressing the hazards listed. Place a check mark in the box below the control.
8	GAR	Using the "Key", assign a number from 1 to 5 based on the level of severity, probability and exposure. Multiply all numbers together to get a total. Enter this number into the total column. Gar means **G**reen, **A**mber, **R**ed. Using the GAR scale on the bottom of the sheet, assign a color, risk level or action phrase in this block.
9	Prepared by	Enter the name of the person who completed this worksheet.

ICS-215A-CG INCIDENT ACTION PLAN SAFETY ANALYSIS AID

HAZARDS:

Physical	Chemical/Biological	Human
• Slipping	• Explosion	• Violence
• Tripping	• Flammable	• Poor Lifting
• Fall	• Air Reactive	• Repetition
• Overhead	• Water Reactive	• Excessive Force
• Heat Stress	• Chem Reactive	• Poor posture
• Cold Stress	• Alpha Rad	• Awkward motion
• Electrical	• Beta Rad	• Fatigue
• Blunt Objects	• Gamma Rad	• Poor hygiene
• Sharp Objects	• X Rad	• Illness
• Noise	• Bio-weapon	• Alcohol/Drugs
• Vehicle	• Chem-weapon	• Over crowding
• Fire	• Irritant	• Poor comms
• Sun/UV Glare	• Asphyxiant	• Noise interference
• Sun Burn	• Oxidizer	• Smoking
• Moving Pinch Points	• Carcinogen	• Driving
• Unguarded Machinery	• Corrosive	**Animal/Plant**
• Lightning	• Cryogenic	• Bites/Stings
• Drowning	• Toxic	• Poison
• Engulfment	• Biomed/pathogen	• Thorns/burrs
• Limited Egress/Access	• Particulates	• Swarms
	• Fumes (weld etc.)	• Disease
	• O2 Deficiency	• Feces/Coliforms

CONTROLS:

Types of Engineering Controls:

• Barriers	• Shields	• Dams
• Capping	• Covering	• Fencing
• Terminating	• Shutting	• Blocking
• Chocks	• Enclosures	• Diverters
• Flanging	• Guarding	• Substitution
• Anchoring	• Ventilation	• Blowing
• Scaffolding	• Grounding	• Substitution
• Bonding	• Insulation	• Lighting
• Locks, Tags	• Kill-switches	• Shut-off valves
• Taglines	• Circuit Breakers	• Process change
• Plugging, patching	• Sealing	• Absorbers

Types of Administrative Controls:

• Reduced work duration	• Worker rotation	• Safety plans
• Training	• Safety briefs	• Relief personnel
• Maintenance	• Drinking fluids	• Work/rest periods
• Good housekeeping	• Roving security	• Signs
• Warning lights	• Alarms	• Break areas
• Pre-inspections	• Field checks	• Buddy system
• Line of sight comms	• Comms schedule	• Equipt staging
• Load shifting	• Hazard marking	• Placarding
• Labeling	• Hand signals	• Safety observers
• Fendering	• Work plans	• Replenish fluids
• Handcarts/trolleys	• Fire extinguishers	• Drum bulking
• Eye Wash Station	• Hand washers	• Showers

Types of Personal Protective Equipment Controls:

• Hard hats	• Steel-toed shoes	• Safety glasses
• Safety goggles	• Face shields	• Hearing Protection
• Life jacket	• Fall arrests	• SCBA
• APRs	• Chemical suits	• Flash suits
• Fire resistant suits	• Work gloves	• Chemical gloves
• Sun glasses	• Sun-block	• Life rings
• Eye wash stations	• Night vision	• Thermal protection
• Dry/wet suits	• Hand warmers	• Wind breaker coat
• Knee pads	• Over garments	• Coveralls
• Booties	• Cooling vests	• Chap lip protection
• Hats for warming	• Gloves (warmth)	• Clothing (warmth)

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1. Incident Name	2. Operational Period (Date / Time) From: To:	AIR OPERATIONS SUMMARY ICS 220-CG

3. Distribution

☐ Fixed-Wing Bases _____ ☐ Helibase _____

4. Personnel and Communications

	Air Operations Director	Air / Air Frequency	Air / Ground Frequency
Air Operations Director			
Air Tactical Supervisor			
Air Support Supervisor			
Helicopter Coordinator			
Fixed-Wing Coordinator			

5. Remarks (Spec. Instructions, Safety Notes, Hazards, Priorities)

6. Location / Function	7. Assignment	8. Fixed-Wing		9. Helicopter		10. Time		11. Aircraft Assigned	12. Operating Base
		NO.	TYPE	NO.	TYPE	Available	Commence		
	13. TOTALS								

14. Air Operation Support Equipment

15. Prepared by **Date / Time**

AIR OPERATIONS SUMMARY (ICS 220-CG)

Purpose. The Air Operations Summary provides the Air Operations Branch with the number, type, location, and specific assignments of aircraft.

Preparation. The Operations Section Chief or the Air Operations Branch Director completes the summary during each Planning Meeting. General air resource assignment information is obtained from the Operational Planning Worksheet (ICS 215-CG). The Air and Fixed-Wing Support Groups provide specific designators of the air resources assigned to the incident.

Distribution. After the summary is completed by Air Operations personnel (except item 11), the form is given to the Air Support Group Supervisor, who completes the form by indicating the designators of the helicopters and fixed-wing aircraft assigned missions during the specified operational period. This information is provided to Air Operations personnel who, in turn, give the information to the Resources Unit. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Operational Period	Enter the time interval for which the form applies.
3.	Distribution	Check the block and enter the time and date when ICS 220-CG and attachments were sent to all fixed-wing bases and helibases supporting the incident.
4.	Personnel and Communications	List the names of those assigned to each position, and the air-air and air-ground frequencies to be used.
5.	Remarks	Enter the special instructions or information, including safety notes, hazards, and priorities for Air Operations personnel.
6.	Location/Function	Enter the assigned location and function of the aircraft.
7.	Assignment	Enter the scope of work the aircraft is assigned to complete.
8.	Fixed Wing	Indicate the number and type of fixed-wing aircraft available for this Location / Function.
9.	Helicopters	Indicate the number and type of helicopters available for this Location / Function.
10.	Time	Indicate when aircraft will be available for use and when operations commence (use 24 hour clock).
11.	Aircraft Assigned	Enter the designators of the aircraft assigned. Gather information from Resources Unit, helibases, and fixed-wing bases.
12.	Operating Base	Enter the base (helibase, helispot, fixed-wing base) from which each air resource is expected to initiate operations.
13.	Totals	Enter the total number of fixed-wing and helicopter aircraft assigned to the incident in the Number columns. Enter the total number of each type of aircraft assigned in the Type columns.
14.	Air Operations Support Equipment	List the designators and location of other support resources assigned to Air Operations.
15.	Prepared By Date/Time	Enter name and title of the person preparing the form. Enter date (month, day, year) and time prepared (24-hour clock).

1. Incident Name	2. Operational Period (Date / Time) From: To:	DEMOB. CHECK-OUT ICS 221-CG
3. Unit / Personnel Released	4. Release Date / Time	

5. Unit / Personnel

You and your resources have been released, subject to signoff from the following:
(Demob. Unit Leader "X" appropriate box(es))

Logistics Section

- ☐ Supply Unit _____
- ☐ Communications Unit _____
- ☐ Facilities Unit _____
- ☐ Ground Unit _____

Planning Section

- ☐ Documentation Unit _____

Finance / Admin. Section

- ☐ Time Unit _____

Other

- ☐ _____ _____
- ☐ _____ _____
- ☐ _____ _____

6. Remarks

_____

_____

_____

_____

7. Prepared by: **Date / Time**

DEMOB. CHECK-OUT (ICS 221-CG)

Purpose. This form provides the Planning Section information on resource releases from the incident.

Preparation. The Demobilization Unit Leader or the Planning Section initiates this form. The Demobilization Unit Leader completes the top portion of the form after the resource supervisor has given written notification that the resource is no longer needed.

Distribution. The individual resource will have the unit leader initial the appropriate box(es) in item 5 prior to release from the incident. After completion, the form is returned to the Demobilization Unit Leader or the Planning Section. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Operational Period	Enter the time interval for which the form applies.
3.	Strike Team / Unit / Personnel Released	Enter name of Strike Team, Unit or personnel being released.
4.	Release Date/Time	Enter date (month, day, year) and time (24-hour clock) of anticipated release.
5.	Strike Team / Unit / Personnel	Demobilization Unit Leader will enter an "X" in the box to the left of those units requiring check-out. Identified Unit Leaders are to initial to the right to indicate release. NOTE: Blank boxes are provided for any additional unit requirements as needed, (e.g., Safety Officer, Agency Rep., etc.)
6.	Remarks	Enter any additional information pertaining to demobilization or release (e.g., transportation needed, destination, etc.).
7.	Prepared By Date/Time	Enter name and title of the person preparing the form. Enter date (month, day, year) and time prepared (24-hour clock).

Reset Form

INCIDENT PERSONNEL PERFORMANCE RATING ICS 225-CG	*INSTRUCTIONS:* The immediate job supervisor will prepare this form for each subordinate. It will be delivered to the planning section before the rater leaves the incident. Rating will be reviewed with the subordinate who will sign at the bottom. To electronically fill form, double-click on first word of each section, then enter information.

THIS RATING IS TO BE USED ONLY FOR DETERMINING AN INDIVIDUAL'S PERFORMANCE ON AN INCIDENT/EVENT

1. Name:	2. Incident Name:
3. Home Unit and Phone Number:	4. Location of Incident:

5. Position Assigned:	6. Date of Assignment: From: To:	7. Date Incident Started:	8. Incident Type:	9. Incident Kind:

10. Evaluation

Rating Factors	N/A	1 - Unacceptable	2	3 – Met Standards	4	5 – Exceeded Expectations
A. Knowledge of the job/ Professional Competence & Using ICS:	☐	Questionable competence and credibility. Operational or specialty expertise inadequate or lacking in key areas. ☐	☐	Competent and credible authority on specialty or operational issues. ☐	☐	Superior expertise; advice and actions showed great breadth and depth of knowledge. ☐
B. Planning/Preparedness & ability to obtain performance/results:	☐	Got caught by the unexpected; appeared to be controlled by events; routine tasks accomplished with difficulty. ☐	☐	Consistently prepared. Set high but realistic goals. Work was timely and of high quality; required same of subordinates. ☐	☐	Exceptional preparation. Always looked beyond immediate events or problems. Maintained optimal balance among quality, quantity, and timeliness of work. ☐
C. Adaptability/Attitude:	☐	Unable to gauge effectiveness of work, recognize political realities, or make adjustments when needed. Maintained a poor outlook. ☐	☐	Receptive to change, new information, and technology. ☐	☐	Rapidly assessed and confidently adjusted to changing conditions, political realities, new information and technology. ☐
D. Communication Skills:	☐	Unable to effectively articulate ideas and facts; lacked preparation, confidence, or logic. ☐	☐	Effectively expressed ideas and facts in individual and group situations; non-verbal actions consistent with spoken message. ☐	☐	Clearly articulated and promoted ideas. Adept at presenting complex or sensitive issues. ☐
E. Directing Others:	☐	Showed difficulty in directing or influencing others. Unwilling to delegate authority to increase efficiency of task accomplishment. ☐	☐	Set high work standards; clearly articulated job requirements, expectations and measurement criteria; held subordinates accountable. ☐	☐	An inspirational leader who motivated others to achieve results not normally attainable. Modified leadership styles to best meet situations. Won people over rather than imposing will. ☐
F. Ability to work on/ Consideration for team:	☐	Ignorance of individuals' capabilities increased chance of failure. Seldom recognized or rewarded deserving subordinates or others. Used teams ineffectively or at wrong times. ☐	☐	Skillfully used teams to increase unit effectiveness, quality, and service. Cared for people. Recognized and responded to their needs ☐	☐	Insightful use of teams raised unit productivity beyond expectations. Inspired high level of esprit de corps, even in difficult situations. Ensured appropriate and timely recognition of others. ☐
G. Judgment/Decisions under stress:	☐	Decisions often displayed poor analysis. Failed to make necessary decisions, or jumped to conclusions without considering facts. ☐	☐	Skillfully used teams to increase unit effectiveness, quality, and service. ☐	☐	Combined keen analytical thought and insight to make appropriate decisions. Focused on the key issues and the most relevant information. ☐
H. Initiative	☐	Postponed needed action. Implemented or supported improvements only when directed. ☐	☐	Championed improvement through new ideas, methods, and practices; self-starter. ☐	☐	Aggressively sought out additional responsibility. A self-learner. Optimized use of new ideas. ☐
I. Adherence to safety:	☐	Failed to adequately identify and protect personnel from safety hazards. ☐	☐	Ensured that safe operating procedures were followed. ☐	☐	Demonstrated a significant commitment towards safety of personnel. ☐

11. Remarks/Potential: Type remarks here; Describe ability to assume greater leadership roles and responsibilities (e.g., rate performance, recommend incident management positions and/or ICS or other training).

12. Rated Person *(signature)* This rating has been discussed with me.	13 Date:

14. Rated By *(signature/print name)*:	15. Supervisor Home Unit *(address/phone)*:	16. Supervisor Position:	17. Date:

INCIDENT PERSONNEL PERFORMANCE RATING (ICS 225-CG) – Rev 9/06

Purpose. The Incident Personnel Performance Rating gives supervisors the opportunity to evaluate subordinates on incident assignments. THIS RATING IS TO BE USED ONLY FOR DETERMINING AN INDIVIDUAL'S PERFORMANCE ON AN INCIDENT/EVENT.

Preparation. The Incident Personnel Performance Rating is normally prepared by the supervisor for each subordinate, using the evaluation standard given in the form. It will be delivered to the planning section before the rater leaves the incident. Rating will be reviewed with the subordinate who will sign at the bottom.

Distribution. The Incident Personnel Performance Rating is duplicated a copy is given to the subordinate and supervisor. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Name	Enter the name of the person being evaluated.
2.	Incident Name	Enter the name assigned to the incident.
3.	Home Unit	Enter the address and phone number of the home unit of the person being evaluated.
4.	Location of Incident	Enter the address/location of the incident.
5.	Position Assigned	Enter the position assigned for the purpose of this evaluation.
6.	Date of Assignment	Enter the date of assignment.
7.	Date Incident Started	Enter the date the incident started.
8.	Type of Incident	Enter the Type (size) of the incident: Type 1, 2, 3, 4 or 5.
9.	Kind of Incident	Enter the kind of incident: Oil/Hazmat Spill, SAR, Fire, etc.
10.	Evaluation	Enter X under the appropriate rating for each category listed using the definitions given.
	Not Applicable	not observed.
	1 - Unacceptable	Deficient. Does not meet minimum requirements of the individual element. DEFICIENCIES/IMPROVEMENTS NEEDED MUST BE IDENTIFIED IN REMARKS.
	2 - Needs to improve	Meets some or most of the requirements of the individual element. IDENTIFY IMPROVEMENT NEEDED IN REMARKS.
	3 - Met Standards	Satisfactory. Employee meets all requirements of the individual element.
	4 - Fully successful	Employee meets all requirements and exceeds one or several of the requirements of the individual element.
	5 - Exceeded Expectations	Superior. Employee consistently exceeds the performance requirements.
11.	Remarks	Provide remarks/comments for ratings given. **Comments required for *unsatisfactory* and *needs to improve* ratings.**
12.	Rated Person Signature	Rated Person's signature.
13.	Date	Enter date (month, day, year) rated person signed performance rating.
14.	Rated By	Signature and printed name of supervisor/person giving the performance rating.
15.	Supervisor Home Unit	Enter address/phone of supervisor.
16.	Supervisor Position	Enter the position the supervisor held.
17.	Date	Enter date (month, day, year) supervisor signed the performance rating.

1. Incident Name	2. Operational Period (Date/Time) From: To:	DAILY MEETING SCHEDULE ICS 230-CG

3. Meeting Schedule (Commonly-held meetings are included)

Date/ Time	Meeting Name	Purpose	Attendees	Location
	Unified Command Objectives Meeting	Review/ identify objectives for the next operational period.	Unified Command members	
	Command & General Staff Meeting	IC/UC gives direction to Command & General staff including incident objectives and priorities	IC/UC, Command & General Staff	
	Tactics Meeting	Develop/Review primary and alternate Strategies to meet Incident Objectives for the next Operational Period.	PSC, OSC, LSC, RESL & SITL	
	Planning Meeting	Review status and finalize strategies and assignments to meet Incident Objectives for the next Operational Period.	Determined by the IC/UC	
	Operations Briefing	Present IAP and assignments to the Supervisors / Leaders for the next Operational Period.	IC/UC, Command & General Staff, Branch Directors, Div/Gru Sups., Task Force/Strike Team Leaders and Unit Leaders	

4. Prepared by: (Situation Unit Leader) **Date/Time**

DAILY MEETING SCHEDULE (ICS 230-CG)

Purpose. The Daily Meeting Schedule records information about the daily scheduled meeting activities.

Preparation. This form is prepared by the Situation Unit Leader and coordinated through the Unified Command for each operational period or as needed. Commonly-held meetings are already included in the form. Additional meetings, as needed, can be entered onto the form in the spaces provided. Time and location for each meeting must be entered. If any of these standard meetings are not scheduled, they should be crossed out on the form.

Distribution. After coordination with the Unified Command, the Situation Unit Leader will duplicate the schedule and post a copy at the Situation Status Board and distribute to the Command Staff, Section Chiefs, and appropriate Unit Leaders. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Operational Period	Enter the time interval for which the form applies.
3.	Meeting Schedule	For each scheduled meeting, enter the date/time, meeting name, purpose, attendees, and location. Note: Commonly-held meetings are included in the form. Additional meetings, as needed, can be entered onto the form in the spaces provided. Time and location for each meeting must be entered. If any of the standard meetings are not scheduled, they should be deleted from the form (normally the Situation Unit Leader).
4.	Prepared By	Enter name and title of the person preparing the form, normally the Situation Unit Leader.
	Date/Time	Enter date (month, day, year) and time prepared (24-hour clock).

1. Incident Name	2. Operational Period (Date/Time) From: To:	**RESOURCES AT RISK SUMMARY** **ICS 232-CG**

3. Environmentally-Sensitive Areas and Wildlife Issues

Site #	Priority	Site Name and/or Physical Location	Site Issues

Narrative

4. Archaeo-cultural and Socio-economic Issues

Site #	Priority	Site Name and/or Physical Location	Site Issues

Narrative

5. Prepared by: (Environmental Unit Leader) **Date/Time**

RESOURCES AT RISK SUMMARY (ICS 232-CG)

Purpose. The Resources at Risk Summary provides information about sites in the incident area which are sensitive due to environmental, archaeo-cultural, or socio-economic resources at risk, and identifies incident-specific priorities and issues. The information recorded here may be transferred to ICS 232a-CG, which acts as a key to the Area Contingency Plan (ACP) or Geographic Response Plan (GRP) site numbers shown on the Situation Map.

Preparation. The Environmental Unit Leader, with input from resource trustees, will complete this form for each operational period. It should be updated prior to the Planning Meeting.

Distribution. This form must be forwarded to the Planning Section Chief for possible inclusion in the IAP. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	Operational Period	Enter the time interval for which the form applies.
3.	Env- Sensitive Area & Wildlife Issues	
	Site Number	Enter site number. Can come from Area Contingency Plan (ACP) or Geographic Response Plan (GRP) or can be created during an incident.
	Priority	Priority specific to this incident. Can come from an ACP/GRP or can be created during an incident.
	Site Name and/or Physical Location	Name of the site (e.g., Marsh Pt., Glacier Creek, etc.) and/or physical location (e.g., address, lat/long, landmarks, etc.).
	Site Issues	Environmental concerns associated with this site and season.
	Narrative	Use the Narrative section to clarify any issues.
4.	Archaeo-cultural and Socio-economic Issues	
	Site Number	Enter site number. Can come from an ACP/GRP or can be created during an incident.
	Priority	Priority specific to this incident. Can come from an ACP/GRP or can be created during an incident.
	Site Name and/or Physical Location	Name of the site (e.g., Marsh Pt., Glacier Creek, etc.) and/or physical location (e.g., address, lat/long, landmarks, etc.).
	Site Issues	Archaeo-cultural or socio-economic concerns associated with this site and season.
	Narrative	Use the Narrative section to clarify any issues.
5.	Prepared By	Enter name and title of the person preparing the form (normally the Environmental Unit Leader).
	Date/Time	Enter date (month, day, year) and time prepared (24-hour clock).

1. Incident Name	2. Operational Period (Date/Time) From: To:	ACP Site Index ICS 232a-CG

3. Index to ACP/GRP sites shown on Situation Map

Site #	Priority	Site Name and/or Physical Location	Action	Status

Note: This form is designed to be posted next to the situation map. Use additional sheets, as needed.

4. Prepared by: Date/Time

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1. Incident Name:					INCIDENT OPEN ACTION TRACKER ICS-233		
2. No.	3. Item	4. For/POC	5. Briefed POC (X)	6. Start Date	7. Status	8. Target Date	9. Actual Date
1							
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Open Actions Tracker (ICS 233-CG - revision 1/07)

Purpose. Open Actions Tracker
1. Is used by the Incident Commander/Unified Command (IC/UC) to assign and track tasks/actions to IMT personnel that do not rise to the level of being an Incident Objective.
2. Is duplicated and provided to Command and General Staff members, giving them the open tasks/actions needing to be completed and a means to track the open tasks/actions they have been assigned.
Note: This form may also be used by Command and General Staff for tracking tasks/actions within a Section/Staff element.

Preparation. The Planning Section Chief (PSC) is responsible for maintaining the Open Actions Tracker for the IC/UC and typically utilizes the Documentation Unit Leader (DOCL) to assist in this forms development and updating. The PSC should ensure all Command and General Staff are prepared to discuss their assigned tasks/actions during the Command and General Staff and Planning Meetings.

Distribution. When completed, the form is duplicated and copies are distributed to the Unified Command and Command and General Staff. It is also posted on a status board located at the ICP. All completed original forms MUST be given to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name assigned to the incident.
2.	No.	Enter number of task in sequential order (1, 2, 3, ...).
3.	Item	Enter short descriptive of the task/action to be completed. Tasks/Actions are important to be completed but are not an Incident Objective which are documented on the ICS-202 form.
4.	For/POC	Enter the Point of Contact (POC), the responsible person/section.
5.	Briefed to POC	Enter "X", when the task/action has been briefed to the POC/responsible person. This is to ensure that tasks/actions identified outside of the POC's presence (during Unified Command Meeting for example) are briefed to and acknowledged by the identified POC.
6.	Start Date	Enter the date the task/action was initially assigned under "Start Date."
7.	Status	Enter status of item. For example; "Awaiting LE Gear", "Update needed", "Awaiting Feedback". When the item is completed, the word "completed" is entered and if working in MS Excel, the task is cut and pasted into the worksheet labeled "COMPLETED."
8.	Target Date	Enter deadline task/action should be completed. In the Excel Worksheet, there is a hidden formula that shows green, yellow and red blocks. When the target date is one day away, the block turns yellow. When it is overdue it turns red. When the block is yellow, it serves as a reminder to the UC/POC that the target date is nearing and the POC needs to complete the task or the target date needs to be updated.
9.	Actual Date	Enter actual date task/action completed.

NOTE: In order to ensure the red and yellow reminders work for new tasks, the user simply copies a task line, inserts it into the worksheet and overtypes the new task information.

	WORK ANALYSIS MATRIX ICS 234-CG
1. Incident Name	2. Operational Period From: To:

3. Operation's Objectives DESIRED OUTCOME	4. Optional Strategies HOW	5. Tactics/Work Assignments WHO, WHAT, WHERE, WHEN

6. Prepared by: (Operations Section Chief)	7. Date/Time:

WORK ANALYSIS MATRIX FORM INSTRUCTIONS (ICS FORM 234-CG) Rev. 8/05

Purpose. The Work Analysis Matrix is designed to help select the best strategies and tactics to achieve the operational objectives. This optional form assists staff in carrying out incident objectives by outlining the who, what, where, when, and how of the response. The tactics from this form carry forward to the "Work Assignment" on the ICS-215. Another purpose of the ICS-234 is that it presents alternative (or what-if) strategies and tactics to respond to bad weather, sudden changes in operational conditions, etc. This form is simply a formalized version of how most OSCs tend to think in order to turn objectives into tactical field work.

Preparation. The Work Analysis Matrix, if used, is usually completed by the Operations Section Chief and Planning Section Chief prior to the Tactics Meeting.

Distribution. All completed original forms must be submitted to the Documentation Unit.

Item #	Item Title	Instructions
1.	Incident Name	Enter the name of the incident
2.	Operational Period	Enter the time interval for which the form applies. Record the start and end date and time.
3.	Operational Objectives	Enter the relevant Operational Objectives from the ICS 202, with numbers
4.	Operational Strategies	Enter all strategies that could be used to meet the objective ("how")
5.	Tactics/Work Assignments	Enter details, including as much as possible, who, what, where, and when, of work assignments to carry out Operational Strategies
6.	Prepared By	Enter the name and position of the person preparing the form
7.	Date/Time	Enter the date and time (24-hour format) the form was prepared

FACILITY NEEDS ASSESSMENT WORKSHEET ICS-235-CG (Rev 5/06)

1.INCIDENT NAME

2. LOCATION	3. FACILITIES	4. Requirements	# Expected Personnel	Internal/Building Workspace Sq Ft (80 sq ft/pers)	Wall Space Linear Sq Ft	Multi-Purpose Mtg Rm Sq Ft (20 sq ft/pers + display space)	External/Outside Laydown Sq Ft	Parking Space Sq Ft (120 sq ft/vehicle x 1.4 circulation factor)	Climate Control (HVAC) needed -	Toilet Rooms	Work Tables	Conf Table	Chairs	Telephones	Speaker Phone	Fax Machines	Power Outlets	Comp Workstations	Printers	Chart Printer/ChartPro	Video Projectors	Copy Machines	Paper Shredders				
ICP	Unified Command	REQ																									
	Liaison Officer & Agency Reps	REQ																									
	Safety Officer	REQ																									
	Public Information Officer	REQ																									
	Planning Section	REQ																									
	Operations Section	REQ																									
	Logistics Section	REQ																									
	Finance/Admin Section	REQ																									
	Common Areas	REQ																									
Base	Base	REQ																									
		REQ																									
JIC	JIC	REQ																									
		REQ																									
Staging		REQ																									
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		REQ																									

5. Prepared By:

6. Date/Time Prepared:

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PORTLAND MONTREAL PIPE LINE SYSTEM
MEDIA CONTACT LOG
(Proactive Contact)

Publication: _____

Contact/Phone Number: _____

Story Angle: _____

_____

Discussion: _____

_____

_____

Date: _____ Time:_____ a.m./p.m

Contacted By: _____

Next Steps: _____

_____

_____

□ CONTACT COMPLETED/ LOG FILED

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Qualified Individual (QI) Notification Exercise

Internal Exercise Documentation

1. Date performed: _____
2. Exercise or actual response: _____
3. Person initiating exercise: _____
4. Name of person notified: _____
   Is this person identified in the response plan as the: ☐ QI ☐ AQI
5. Time initiated: _____
   Time QI or AQI responded: _____
6. Method used to contact:
   ☐ Telephone ☐ Pager ☐ Radio
   ☐ Other _____
7. Description of notification procedure:

   _____

   _____

   _____

   _____

   _____
8. Identify which components of your response plan were exercised during this particular exercise:

Organizational Design

- ☐ Notifications
- ☐ Staff mobilization
- ☐ Ability to operate within the response management system described in the plan

Operational Response

- ☐ Discharge control
- ☐ Assessment of discharge
- ☐ Containment of discharge
- ☐ Recovery of spilled material
- ☐ Protection of economically and environmentally sensitive areas
- ☐ Disposal of recovered product

Response Support

- ☐ Communications
- ☐ Transportation
- ☐ Personnel support
- ☐ Equipment maintenance and support
- ☐ Procurement
- ☐ Documentation

Certifying Signature: _____ Name (Printed): _____

Date: _____

Response Team Tabletop Exercise
Internal Exercise Documentation

1. Date(s) performed: _____
2. Exercise or actual response: _____
   Exercise type: ☐ Announced ☐ Unannounced
3. Location of exercise: _____
4. Time started: _____
   Time completed: _____
5. Response plan scenario used (check one):
   ☐ Small ☐ Medium ☐ Worst case discharge
   Size of (simulated) spill _____ Bbls
6. Describe how the following objectives were exercised:

   a) Response Team's knowledge of oil spill response plan:

   _____
   _____
   _____
   _____
   _____
   _____

   b) Proper notifications:

   _____
   _____
   _____
   _____
   _____
   _____

   c) Communications System:

   _____
   _____
   _____
   _____
   _____
   _____

Response Team Tabletop Exercise

Internal Exercise Documentation (Cont'd)

d) Response Team's ability to access contracted OSRO:

e) Response Team's ability to coordinate spill response with OSC, state and applicable agencies:

f) Response Team's ability to access sensitive site and resource information in Area Contingency Plan:

7. Identify which components of your response plan were exercised:

8. Attach description of lesson(s) learned and person(s) responsible for follow up of corrective measures.

Certifying Signature: _____ Name (Printed): _____

Date: _____

Equipment Deployment Exercise
(Semiannual)
Internal Exercise Documentation Form

1. Date(s) performed: _____

2. Exercise or actual response? _____
   If an exercise, announced or unannounced? _____

3. Deployment location(s):
   _____
   _____
   _____
   _____
   _____
   _____

4. Time started: _____
   Time completed: _____

5. Equipment deployed was:
   _____ Facility - owned
   _____ Oil spill removal organization - owned if so, which OSRO? _____
   _____ Both

6. List type and amount of all equipment (e.g., boom and skimmers) deployed and number of support personnel employed:
   _____
   _____
   _____
   _____
   _____
   _____

7. Describe goals of the equipment deployment and list any Area Contingency Plan strategies tested (Attach a sketch of equipment deployments and booming strategies):
   _____
   _____
   _____
   _____
   _____

8. For deployment of facility-owned equipment, was the amount of equipment deployed <u>at least</u> the amount necessary to respond to your facility's average most probable spill?
   _____
   _____

   Was the equipment deployed in its intended operating environment?
   _____
   _____

Equipment Deployment Exercise (cont'd)
(Semiannual)
Internal Exercise Documentation Form

9. For deployment of OSRO - owned equipment, was a representative sample (at least 1000 feet of each boom type and at least one of each skimmer type) deployed?

Was the equipment deployed in its intended operating environment?

10. Are all facility personnel that are responsible for response operations involved in a comprehensive training program, and all pollution response equipment involved in a comprehensive maintenance program? _____

If so, describe the program: _____

Date of last equipment inspection: _____

11. Was the equipment deployed by personnel responsible for its deployment in the event of an actual spill? _____

12. Was all deployed equipment operational? If not, why not?

Response Equipment Inspection Log

Inspector	Date	Comments

REVISION RECORD

Note: It is the responsibility of the holder of this plan to ensure that all changes and updates are made. The holder shall:

- Remove and discard obsolete pages.
- Replace obsolete pages with the updated pages.
- Record each revision on this form.

Change Date	Affected Page Number(s)	Description of Change(s)	Name
EXAMPLE			
01/01/99	1-1 thru 1-4; 5-2	Head Office Update	B.A. Sample

The 12-2012 revision of the PHMSA Form 7000-1 (Accident Report Form) is available in the PHMSA Portal.

Online submission via PHMSA portal is required unless alternative reporting method is granted by PHMSA

PHMSA Portal: https://portal.phmsa.dot.gov/portal

See Online Submission Registration Requirements at http://opsweb.phmsa.dot.gov/portal_message/PHMSA_Portal_Registration.pdf:

If electronic reporting imposes an undue burden and hardship, an operator may submit a written request for an alternative reporting method to the Information Resources Manager, Office of Pipeline Safety, Pipeline and Hazardous Materials Safety Administration, PHP-20, 1200 New Jersey Avenue, SE Washington DC 20590. The request must describe the undue burden and hardship. PHMSA will review the request and may authorize, in writing, an alternative reporting method. An authorization will state the period for which it is valid, which may be indefinite. An operator must contact PHMSA at 202-366-8075, or electronically to informationresourcesmanager@dot.gov or make arrangements for submitting a report that is due after a request for alternative reporting is submitted but before an authorization or denial is received. Operators should request and receive authorization from PHMSA prior to the use of alternative reporting methods.

RESPONDING TO OIL & HAZARDOUS MATERIALS SPILLS
DEP Initial Spill Information Report Form

Please fill in as much of the following as possible, using information provided by the caller/reporting official. Bold fields are of primary importance.

Name of caller Date of Report _____ and Time ___:___ AM ___ PM ___

Date of Spill/Event _____ and Time ___:___ AM ___ PM ___

Telephone number(s) of caller (include area code)

Company Name (if applicable)

Address

Town _____ State _____ Zip Code

Name of other informed party _____ Phone Number

Type of product alleged spilled

Estimated amount of spill

Is more spillage possible? _____ (Yes or No) Amount? _____

Is the situation **URGENT?** _____ (Yes or No) Is **HELP** needed? _____ (Yes or No)

Nature of call or complaint

Actions taken so far:

What resources are at risk? (check all that apply)

____ Public Safety
____ Public Water or Well
____ Private Water or Well
____ Atmosphere
____ Land or Ground
____ Open Water
____ Surface Drainage
____ Storm Sewer
____ Sanitary Sewer
____ Vapors in Building
____ None (complaint only)

Location of incident (Town name)

Specific directions to site

OIL DISCHARGE REPORT TO STATE OF MAINE
DEPARTMENT OF ENVIRONMENTAL PROTECTION
(SAMPLE)

(a) Date, time, and place of discharge:

(b) Licensee:
Name of Vessel: N/A
Owner of Vessel: N/A

(c) Amount and type of oil discharged and recovered:

(d) Description of circumstances causing discharge:

(e) Control and recovery operations:

(f) Recommendations to the Department of Environmental Protection arising from incident pertaining to PPLC procedures, methods, precautions, or operations:

g) PPLC damages suffered:

(h) Other damages suffered:

Location: _____

Date: _____

SPCC SPILL REPORT
(SAMPLE)

§112.4 Submittal of Information to Regional Administrator for Qualified Discharge(s)

In the event of a reportable discharge or discharges, this page can be utilized to provide official notification to the Regional Administrator. If the Facility has had a discharge or discharges, which meet one of the following two criteria, then this report must be submitted to the Regional Administrator within 60 days. (Check as appropriate)

❑ This Facility has experienced a reportable spill as referenced in 40 CFR Part 112.1(b) of 1,000 gallons or more.

❑ This Facility has experienced two (2) reportable spills (as referenced in 40 CFR Part 112.1(b) of greater than 42 gallons each within a 12-month period.

Facility Name and Location: _____

Facility Contact Person (Name, address/phone number): _____

Facility maximum storage or handling capacity: _____

Facility normal daily throughput: _____

Describe the corrective action and countermeasures taken (include description of equipment repairs and replacements): _____

Describe the Facility (maps, flow diagrams and topographical maps attached as necessary):

Describe the cause of discharge (as referenced in 40 CFR Part 112.1(b)) including failure analysis of the system is: _____

Describe the preventative measures taken, or contemplated to be taken, to minimize the possibility of recurrence: _____

Other pertinent information: _____

- A copy of this report is also to be sent to the appropriate state agency in charge of oil pollution control activities.

DISCHARGE PREVENTION MEETING LOG
(SAMPLE LOG)

Date:	
Attendees:	

Subject/Issue	Required Action	Implementation

BRITTLE FRACTURE EVALUATION
(Sample Log)

Tank / Container ID: _____

❑ Field-constructed aboveground container.

❑ Repair: _____
or,

❑ Alteration: _____
or,

❑ Reconstruction: _____.

❑ Alterations, repairs or reconstruction meets API 653 (Tank Inspection, Repair, Alteration and Reconstruction).

❑ Continue Use: _____

❑ Change of service that might affect the risk of a discharge: _____

1. ❑ Tank (container) meets API 650 (Welded Steel Tanks for Oil Storage – 7th Edition or later) and the tank continues to operate in ❑ same service or ❑ equivalent or less severe service.

❑ Continue Use: _____

OR

2. ❑ Tank (container) does not meet API 650 or other equivalent standard:

❑ Prior hydro demonstrates fitness for continued service.

❑ Continue Use: _____

❑ No prior hydrostatic test. **(Go to Step 3.)**

❑ Further evaluation or appropriate action: _____

OR

3. ❑ Alteration, repairs or reconstruction does not meet API 653.

❑ Tank thickness ≤ 0.5 inch: _____

❑ Continue Use: _____

❑ Further evaluation or appropriate action: _____

OR IF NOT,

❑ Tank operates at metal temperature above 60°F: _____

❑ Continue Use: _____

❑ Further evaluation or appropriate action: _____

OR IF NOT,

❑ Membrane stress below 7 ksi: _____

❑ Continue Use: _____

❑ Further evaluation or appropriate action: _____

_____ _____
Inspector/Supervisor Date

PORTLAND PIPE LINE CORPORATION
Safety, Environment, Customer, Community

Informal Monthly Inspection (IMI) Summary

LOCATION	INSPECTION DATE	INSPECTED BY
TERMINAL		
Tank 1		-
Tank 2		-
Tank 27		-
Tank 28		-
T-2 MANIFOLD AREA		
Tank 3		-
Tank 4		-
Tank 5		-
Tank 6		-
Tank 18		-
Tank 19		-
Tank 20		-
Tank 21		-
Tank 22		-
Tank 26		-
T-1MANIFOLD AREA		
Tank 8		-
Tank 9		-
Tank 10		-
Tank 11		-
Tank 12		-
Tank 13		-
Tank 23		-
Tank 24		-
Tank 25		-
OTHER AREAS		
Oil-Water Separator		-
Fuel Oil Tank		-

PORTLAND PIPE LINE CORPORATION
Safety, Environment, Customer, Community

Informal Monthly Inspection (IMI) Checklist (API 653)

Tank: 1
Level:
Crude: #REF!

Inspected By: -
Inspection Date:
Req'd W/O Completion Date: 1/15/1900

DESCRIPTION	ITEM	OK	MONITOR	REPAIRED	WORK ORDER	COMMENTS
Access	Walkway					
	Stairs					
	Platform					
	Footings					
	Grading					
Foam Lines	Valves					
	Caps					
	Piping					
Lights	Switch					
	Fixtures					
	Bulbs					
Piping & Valves	Lateral Piping					
	Shell Valve					
	Transfer Piping & Valves					
	Sump Piping & Valves					
Transfer Pump	Packing					
	Casing					
	Petcock					
	Power					
	Ground Wire					
	Area					
Mixers (Two)	Pivot					
	Casing					
	Hatch					
	Power					
	Ground Wire					
	Area					
Manways	Condition					
Chine	Clearly Visable					
	Undermining					
	Condition					
Leak Detection	Piping					
	Valves					
	No Discharge					
Inspection Well	No Oil Sheen					
Paint	Stairs & Walkways					
	Foam Lines					
	Piping					
	Valves					
	Mixers					
	Transfer Pump					
	Hatches					
	Gauging Shack					
	Wind Girder					
	Shell					
	Roof					
Roof	Debris					
	Wax/Oil					
	Water					
	Ladder					
	Pontoon Covers					
	Vents					
	Shunt Straps					
	Legs					
	Air Pockets					
Hi-Hi Level Alarm	Microswitch					
Dike Area	Animal Burrows					
	Erosion					
	Water Ponding					
	Drainage/Culverts					
	Debris					
Hazards (Provide LPS Entry)	Trip/Falls					
	Other					

PORTLAND PIPE LINE CORPORATION
Safety, Environment, Customer, Community

Informal Monthly Inspection (IMI) Checklist (API 653)

Tank: 1
Level:
Crude: #REF!

Inspected By: -
Inspection Date:
Req'd W/O Completion Date: 1/15/1900

DESCRIPTION	ITEM	OK	MONITOR	REPAIRED	WORK ORDER	COMMENTS
SEAL INSPECTION						
Seal Condition	Clean					
	Wax/Oil/Water					
	Gaps (Provide Measurement)					
	Damage					
	Drains					
Gap Location (Pontoons)	Gap Length (feet)					Gap Measurement at Widest Point (inches)
1 - 2						
2 - 3						
3 - 4						
4 - 5						
5 - 6						
6 - 7						
7 - 8						
8 - 9						
9 - 10						
10 - 1						

PORTLAND PIPE LINE CORPORATION
Safety, Environment, Customer, Community

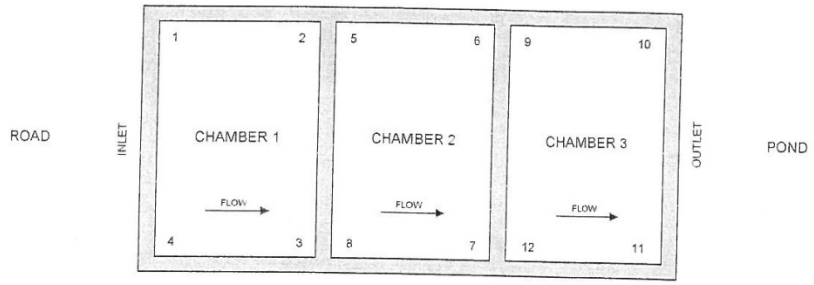
Informal Monthly Inspection (IMI) Checklist (API 653)

Item: OIL-WATER SEPARATOR

Inspected By: -
Inspection Date:
Req'd W/O Completion Date: 1/15/1900

DESCRIPTION	OK	MONITOR	REPAIRED	WORK ORDER	COMMENTS
Surface water clear of oil or sheen					
Concrete free of cracks and spalls					
Chambers free of plant growth and vegetation					
Inlet grating free of debris and in good condition					
Outlet grating free of debris and in good condition					
Inlet gate valve operable and in good condition					
Outlet gate valve operable and in good condition					
Chambers free of excessive sediment (record depth below)					

LOCATION	SEDIMENT DEPTH	OK	MONITOR	REPAIRED	WORK ORDER	COMMENTS
Chamber 1						
Point 1						
Point 2						
Point 3						
Point 4						
Chamber 2						
Point 5						
Point 6						
Point 7						
Point 8						
Chamber 3						
Point 9						
Point 10						
Point 11						
Point 12						



OIL-WATER SEPARATOR
PLAN VIEW

PORTLAND PIPE LINE CORPORATION
Safety, Environment, Customer, Community

Informal Monthly Inspection (IMI) Checklist (API 653)

Item: FUEL OIL TANK
AT HEATING PLANT

Inspected By: -
Inspection Date:
Req'd W/O Completion Date: 1/15/1900

DESCRIPTION	READING (inches)	OK	MONITOR	REPAIRED	WORK ORDER	COMMENTS
Gauge Reading						
Dip Pole						

PREP EXERCISE PROGRAM RECORDS
(SAMPLE)

SAMPLE CHART

20XX PREP EXERCISE PROGRAM RECORDS
OIL SPILL RESPONSE EXERCISES & EVENTS
ACCORDING TO INTEGRATED CONTINGENCY PLAN - SECTION 4.6

	Jan.	Feb.	Mar.	Apr.	May	June	July	Aug.	Sept	Oct.	Nov.	Dec.
QI Notification Drill (quarterly)												
Facility Notification Drill (semi-annual)												
Equipment Deployment Drill (semi-annual)												
Spill Management Team Table Top (annual)												
Internal Unannounced Exercise (annual)												

CANADA SPECIFIC FORMS

Reporting instructions

1. Incident Accident Report Forms (TSB / NEB)

The Quebec Area Manager will complete these reports, as necessary, and copies shall be submitted to:

- Appropriate Governmental Authorities;
- Quebec Area Manager ;

2. Hazardous Occurrence Investigation Report

This report will be sent within 14 days after the occurrence of the accident, occupational disease or other hazardous occurrence to the Ministry of
The report will be forwarded to:

- Quebec Area Manager ;
- President of MPL;
- Police Department, if necessary.

3. Spill Report Log

The Ministry of Natural Resources requires that the owner of petroleum product facilities, keeps a record of all events. The MPL Quebec Area Manager will maintain a register of all the spills. A spill identification number is to be issued for each occurrence. The information in the sample log is to be recorded in the log for each spill.

TSB Notification of an Accident/Incident Form

To be completed by the Quebec Area Manager (or delegate), within 30 days after the accident or incident.	
1)	Type of certificate issued under section 52 of the NEB Act: _____ Number of certificate issued under section 52: _____
2)	Name of Operator _____
3)	Date of the accident or incident: _____ Time of the accident or incident: _____
4)	Location of the accident or incident: _____
5)	Number of persons that were killed or sustained a serious injury: _____
6)	A Description of the accident or incident and extent of any damage to the commodity pipeline, the environment and other property _____ _____ _____ _____ _____ _____ _____ _____ _____ _____
7)	A description of any dangerous goods contained in or released (volume released) from the commodity pipeline and a description of any action taken by the operator to protect the public: _____ _____ _____ _____ _____ _____ _____ _____ _____ _____
8)	In the case of a reportable accident[1], the anticipated arrival time of repair equipment: _____ _____
9)	Name of the person making the report:_____ Address: _____ Title: _____

* See definition of accident / incident on Page K-34.

How to make a report:

Pipeline occurrences shall be reported as soon as possible to the TSB Rail/Pipeline Occurrence Hot Line at 819-997-7887

This information shall be faxed to the Rail/Pipeline Branch as soon as possible after the initial call at 819-953-7876

1. A “Reportable Pipeline Accident” is an accident resulting directly from the operation of a pipeline, where:

   A. A Person sustains a serious injury or is killed as a result of being exposed to:

      a. A fire, ignition or explosion, or

      b. A commodity released from the pipeline, or

   B. The Pipeline

      a. Sustains damage affecting the safe operation of the pipeline as a result of being contacted by another object or as a result of a disturbance of its supporting environment,

      b. Causes or sustains an explosion, or a fire or ignition that is not associated with normal operating circumstances, or

      c. Sustains damage resulting in the release of any commodity.

2. A “Reportable Pipeline Incident” means an incident resulting directly from the operation of a pipeline where

   a) an uncontained and uncontrolled release of a commodity occurs,

   b) The pipeline is operated beyond design limits,

   c) The pipeline causes an obstruction to a ship or to a surface vehicle owing to a disturbance of its supporting environment,

   d) Any abnormality reduces the structural integrity of the pipeline below design limits,

   e) Any activity in the immediate vicinity of the pipeline poses a threat to the structural integrity of the pipeline, or

   a) The pipeline, or a portion thereof, sustains a precautionary or emergency shut-down for reasons that relate to or create a hazard to the safe transportation of a commodity

National Energy Board
Calgary, Alberta

Appendix 1
DETAILED INCIDENT REPORT

Type or print in black pen

Board Use Only

NEB Incident No. _____ Date Received _____ NEB Investigator _____

Investigator's Comments _____

Secretary
National Energy Board
444 Seventh Avenue S.W.
Calgary, Alberta T2P 0X8 • Fax: (403) 292-5503

PART A - OPERATOR INFORMATION

Name of Company _____

Address of Company _____

Pipeline Name _____

PART B - TIME, WEATHER AND LOCATION OF INCIDENT

Date *(month)* _____ *(day)* _____ *(year)* _____

Hour *(24 hour system & time zone)* _____

Weather *temperature:* _____ ^{0}C *precipitation:* _____ *windspeed & direction:* _____

CSA Class Location ☐1 ☐2 ☐3 ☐4

Location (provide specific location using a chainage description (MLV, kmP), land survey description or prominent landmarks)

_____

_____

_____

_____

PART C - ORIGIN OF SPILL/RELEASE

Facility Involved:

☐ Line Pipe ☐ Tank Farm ☐ Pump Station ☐ Compressor Station ☐ Regulator/Meter Station ☐ Gas Plant

☐ Other Related Facility *(specify)* _____

Equipment Involved:

☐ Pipe ☐ Valve ☐ Pressure relief device ☐ Fitting ☐ Compressor ☐ Pump ☐ Pressure vessel ☐ Tank

☐ Instrumentation

☐ Other *(specify)* _____

PART D - SPILLS AND RELEASES ***(Report LVP and HVP spills only if in excess of 1.5 m^3)***

☐ Gas ☐ LVP ☐ HVP ☐ Toxic Substance

Name of product/substance _____

Volume spilled/released _____ m^3 Volume recovered _____ m^3

Was there a fire? ☐ Yes ☐ No Was there an explosion? ☐ Yes ☐ No

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PART E - IMMEDIATE CAUSE FOR INCIDENTS ON OPERATING PIPELINES (*Immediate Cause: means unsafe acts or unsafe conditions*)

☐ Failed pipe ☐ Operator personnel error ☐ Other *(specify)* _____

☐ Failed weld ☐ External loading or natural forces Refer to Part H

☐ Corrosion Refer to Part G ☐ Equipment malfunction/failure Refer to Part I

PART F - LINE PIPE DATA

Type of Failure _____

Nominal Diameter (mm) _____ Wall Thickness (mm) _____ Date of Manufacture _____

Weld Process _____ SMYS (MPa) _____

Pipe Specification ☐ Z 245 ☐ Other *(specify)* _____ Pipe Location: ☐ Below Ground ☐ Above Ground

Maximum Operating Pressure (kPa) _____ Pressure at Time of Incident (kPa) _____

Latest Presure Test Date _____ Maximum Test Pressure (kPa) _____ Test Duration (hrs) _____

PART G - CORROSION FAILURES

Corrosion location: ☐ Internal ☐ External

Type of Corrosion *(specify)* _____

Type of Coating _____

Circumferential Position Looking Downstream (mark an X)

PART H - FAILURES DUE TO EXTERNAL LOAD OR NATURAL FORCES

☐ Damage by operator or its contractor ☐ Damage by other parties ☐ Earth movement ☐ Lightning/Fire

☐ Other (*specify*) _____

Name or Contractor/Other Party _____

Address _____

Telephone ( ) _____ Name of Representative _____

PART I - EQUIPMENT MALFUNCTION/FAILURE

Equipment _____ Manufacturer _____ Model# _____

Year Equipment Installed _____ Year Equipment Manufactured _____

PART J - ESTIMATE OF TOTAL INCIDENT COST *(Including repair, cleanup and restoration)*

$

PART K - REPAIR DESCRIPTION *(Description of all repairs to the pipeline made necessary by the incident and date of return to service of the pipeline)*

_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

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PART L - INJURY AND FATALITY DESCRIPTIONS

☐ Number of Fatalities ☐ Number of Serious Injuries

Serious Injury - includes an injury that results in: fracture of a major bone, amputation of a body part, loss of sight - one or both eyes, internal haemorrhage, third degree burns, unconsciousness, or loss of a body part or function of a body part

NAME	AFFILIATION	FATALITY OR INJURY DESCRIPTION AND CURRENT PATIENT CONDITION
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	
	☐ Company Employee ☐ Contractor ☐ Public	

PART M - IMMEDIATE INCIDENT CAUSE OF SERIOUS INJURY/FATALITY *(Immediate Cause - means unsafe acts and conditions)*

☐ Defective/inadequate safety devices, tools or equipment

☐ Improper loading or placement

☐ Congested work area/disorderly workplace

☐ Improper operation of safety devices, tools or equipment

☐ Hazardous environment (gases, dust, smoke, fumes or vapours)

☐ Other *(specify)*

PART N - NARRATIVE OF INCIDENT

Provide a complete description of the incident, including events leading up to, and following the incident. Also include additional information as specified in the guidelines to section 52 of the Onshore Pipeline Regulations. Attach any additional information that may supplement the narrative such as 1) drawing of the incident site 2) photographs 3) schematics 4) maps 5) reports (metallurgical, NDT, inspection, pressure test, etc.)

Attach additional sheets of narrative as required.

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PART O - WITNESS INFORMATION

NAME _____ TELEPHONE NO. ( )_____

_____ ( )_____

_____ ( )_____

_____ ( )_____

_____ ( )_____

PART P - BASIC CAUSES OF INCIDENT ***(Identify all basic causes contributing to the incident. Basic Cause - means the real or root causes of why the unsafe acts and unsafe conditions as described in the immediate cause occurred. Several Basic Causes may be assigned for one incident.)***

☐ Inadequate training ☐ Inadequate work standards or procedures ☐ Inadequate materials, tools or equipment

☐ Inadequate design/maintenance ☐ Non-compliance with work standards or procedures

☐ Other *(specify)* _____

Additional comments on selected basic cause: _____

_____

_____

_____

PART Q - CORRECTIVE ACTIONS TAKEN TO PREVENT SIMILAR INCIDENTS ***(If no corrective action taken, state reasons why)***

_____

_____

_____

_____

_____

_____

_____

_____

PART R - NAME OF PERSON CONDUCTING A COMPANY INCIDENT INVESTIGATION

Name _____

Title _____

Telephone ( )_____ Fax ( )_____

PART S - NAMES OF OTHER AGENCIES INVESTIGATING INCIDENT

Agency _____ Agency _____

Telephone _____ Telephone _____

Contact Name _____ Contact Name _____

Agency _____ Agency _____

Telephone _____ Telephone _____

Contact Name _____ Contact Name _____

PART T - NAME AND TITLE OF COMPANY REPRESENTATIVE FILING REPORT

Name _____ Signature _____

Title _____

Telephone ( )_____ Fax ( )_____ Date *(time)*_____ *(month)*_____ *(day)*_____ *(year)*_____

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Spill Report for Ministry of Natural Resources

Spill Report for Ministry of Natural Resources
ID Number:
Date of the Release:
Name and Title of Person in Charge of the Sector Where the Spill Has Arrived::
Date of the Follow-up Investigation:
Description of the Corrective Actions:
Date when the Corrective Action Was Completed: _____

APPENDIX L

GLOSSARY OF TERMS/ACRONYMS

GLOSSARY OF TERMS & ACRONYMS
GLOSSARY OF TERMS

This glossary contains definitions of terms that will be used frequently during the course of response operations.

Access/Staging Areas: Designated areas near the site accessible for gathering and deploying equipment and/or personnel.

Activate: The process of mobilizing personnel and/or equipment within the response organization to engage in response operations.

Activator: An individual in the response organization whose responsibilities include notifying other individuals or groups within the organization to mobilize personnel and/or equipment.

Adverse Weather: The weather conditions that will be considered when identifying response systems and equipment in a response plan for the applicable operating environment. Factors to consider include significant wave height, ice, temperature, weather - related visibility, and currents within the Captain of the Port (COTP) zone in which the systems or equipment are intended to function.

Agency Representative: Individual assigned to an incident from an agency who has been delegated full authority to make decisions on all matters affecting that agency's participation in response operations.

Area Committee: As defined by Sections 311(a)(18) and (j)(4) of CWA, as amended by OPA, means the entity appointed by the President consisting of members from Federal, State, and local agencies with responsibilities that include preparing an Area Contingency Plan for the area designated by the President. The Area Committee may include ex-officio (i.e., non-voting) members (e.g., industry and local interest groups).

Area Contingency Plan: As defined by Sections 311(a)(19) and (j)(4) of CWA, as amended by OPA, means the plan prepared by an Area Committee, that in conjunction with the NCP, shall address the removal of a discharge including a worst-case discharge and the mitigation or prevention of a substantial threat of such a discharge from a vessel, offshore facility, or onshore facility operating in or near an area designated by the President.

Average Most Probable Discharge: A discharge of the lesser of 50 barrels or 1% of the volume of the worst case discharge.

Barrel (bbl): Measure of space occupied by 42 U.S. gallons at 60 degrees Fahrenheit.

Bioremediation Agents: Means microbiological cultures, enzyme additives, or nutrient additives that are deliberately introduced into an oil discharge and that will significantly increase the rate of biodegradation to mitigate the effects of the discharge.

Boom: A piece of equipment or a strategy used to either contain free floating oil to a confined area or protect an uncontaminated area from intrusion by oil.

Booming Strategies: Strategic techniques which identify the location and quantity of boom required to protect certain areas. These techniques are generated by identifying a potential spill source and assuming certain conditions which would affect spill movement on water.

Bulk: Material that is stored or transported in a loose, unpackaged liquid, powder, or granular form capable of being conveyed by a pipe, bucket, chute, or belt system.

Captain of the Port Zone (COTP): A zone specified in 33 CFR Part 3 as the seaward extension of that zone to the outer boundary of the exclusion economic zone (EE2).

Chemical Agents: Means those elements, compounds, or mixtures that coagulate, disperse, dissolve, emulsify, foam, neutralize, precipitate, reduce, solubilize, oxidize, concentrate, congeal, entrap, fix, make the pollutant mass more rigid or viscous, or otherwise facilitate the mitigation of deleterious effects or the removal of the oil pollutant from the water. Chemical agents include biological additives, dispersants, sinking agents, miscellaneous oil spill control agents, and burning agents, but do not include solvents.

Clean-up Contractor: Persons contracted to undertake a response action to clean up a spill.

Cleanup: For the purposes of this document, cleanup refers to the removal and/or treatment of

oil, hazardous substances, and/or the waste or contaminated materials generated by the incident. Cleanup includes restoration of the site and its natural resources.

Coastal Waters: For the purpose of classifying the size of discharges, means the waters of the coastal zone except for the Great Lakes and specified ports and harbors on inland rivers.

Coastal Zone: As defined for the purpose of the NCP, means all United States waters subject to the tide, United States waters of the Great Lakes, specified ports and harbors on inland rivers, waters of the contiguous zone, other waters of the high seas subject to the NCP, and the land surface or land substrata, ground waters, and ambient air proximal to those waters. The term coastal zone delineates an area of federal responsibility for response action. Precise boundaries are determined by EPA/USCG agreements and identified in federal regional contingency plans.

Coast Guard District Response Ground (DRG): As provided for by CWA sections 311(a)(20) and (j)(3), means the entity established by the Secretary of the department in which the USCG is operating within each USCG district and shall consist of: the combined USCG personnel and equipment, including firefighting equipment, of each port within the district; additional prepositioned response equipment; and a district response advisory team.

Command: The act of controlling manpower and equipment resources by virtue of explicit or delegated authority.

Command Post: A site located at a safe distance from the spill site where response decisions are made, equipment and manpower deployed, and communications handled. The Incident Commander and the On-Scene Coordinators may direct the on-scene response from this location.

Communications Equipment: Equipment that will be utilized during response operations to maintain communication between the Company employees, contractors, Federal/State/Local agencies. (Radio/ telephone equipment and links)

Containment Boom: A flotation/freeboard device, made with a skirt/curtain, longitudinal strength member, and ballast unit/weight designed to entrap and contain the product for recovery.

Contingency Plan: A document used by (1) federal, state, and local agencies to guide their planning and response procedures regarding spills of oil, hazardous substances, or other emergencies; (2) a document used by industry as a response plan to spills of oil, hazardous substances, or other emergencies occurring upon their vessels or at their facilities.

Contract or Other Approved Means: For OPA 90, a written contract with a response contractor; certification by the facility owner or operator that personnel and equipment are owned, operated, or under the direct control of the facility, and available within the stipulated times; active membership in a local or regional oil spill removal organization; and/or the facility's own equipment.

Critical Areas to Monitor: Areas which if impacted by spilled oil may result in threats to public safety or health.

Cultural Resources: Current, historic, prehistoric and archaeological resources which include deposits, structures, ruins, sites, buildings, graves, artifacts, fossils, or other objects of antiquity which provide information pertaining to the historical or prehistorical culture of people in the state as well as to the natural history of the state.

Damage Assessment: The process of determining and measuring damages and injury to the human environment and natural resources, including cultural resources. Damages include differences between the conditions and use of natural resources and the human environment that would have occurred without the incident, and the conditions and use that ensued following the incident. Damage assessment includes planning for restoration and determining the costs of restoration.

Decontamination: The removal of hazardous substances from personnel and their equipment necessary to prevent adverse health effects.

Discharge: Any spilling, leaking, pumping, pouring, emitting, emptying, or dumping.

Dispersants: Means those chemical agents that emulsify, disperse, or solubilize oil into the water column or promote the surface spreading of oil slicks to facilitate dispersal of the oil into the water column.

Diversion Boom: A floatation/freeboard device, made with a skirt/curtain, longitudinal strength member, and ballast unit/weight designed to deflect or divert the product towards a pick up point, or away from certain areas.

Drinking Water Supply: As defined by Section 101(7) of CERCLA, means any raw or finished water source that is or may be used by a public water system (as defined in the Safe Drinking Water Act) or as drinking water by one or more individuals.

Economically Sensitive Areas: Those areas of explicit economic importance to the public that due to their proximity to potential spill sources may require special protection and include, but are not limited to: potable and industrial water intakes; locks and dams; and public and private marinas.

Emergency Operations Center/ Field Command Post: A site located at a safe distance from the spill site where response decisions are made, equipment and manpower deployed, and communications handled. The Incident Commander and the On-Scene Coordinators may direct the on-scene response from this location or may be located at a remote Incident Command Post. (See also – Incident Command Post)

Emergency Response Plan: A document used by (1) federal, state, provincial, and local agencies to guide their planning and response procedures regarding spills of oil, hazardous substances, or other emergencies; (2) a document used by industry as a response plan to spills of oil, hazardous substances, or other emergencies occurring upon their vessels or at their facilities.

Emergency Service: Those activities provided by state and local government to prepare for and carry out any activity to prevent, minimize, respond to, or recover from an emergency.

Environment Socio Economic Sensitivity: An especially delicate or sensitive natural resource, which requires protection in the event of a pollution incident. (See Economically Sensitive areas and Environmentally Sensitive areas.

Environmentally Sensitive Areas: Streams and water bodies, aquifer recharge zones, springs, wetlands, agricultural areas, bird rookeries, endangered or threatened species (flora and fauna) habitat, wildlife preserves or conservation areas, parks, beaches, dunes, or any other area protected or managed for its natural resource value.

Facility: Either an onshore facility or an offshore facility and includes, but is not limited to structures, equipment, and appurtenances thereto, used or capable of being used to transfer oil to or from a vessel or a public vessel. A facility includes federal, state, municipal, and private facilities.

Facility Operator: The person who owns, operates, or is responsible for the operation of the facility.

Federal Fund: The spill liability trust fund established under OPA.

Federal Regional Response Team: The federal response organization (consisting of representatives from selected federal and state agencies) which acts as a regional body responsible for planning and preparedness before an oil spill occurs and providing advice to the FOSC in the event of a major or substantial spill.

Federal Response Plan (FRP): Means the agreement signed by 25 federal departments and agencies in April 1987 and developed under the authorities of the Earthquake Hazards Reduction Act of 1977 and the Disaster Relief Act of 1974, as amended by the Stafford Disaster Relief Act of 1988.

Field Command Post – See Emergency Operations Center.

First Responders, First Response Agency: A public health or safety agency (e.g., fire service or police department) charged with responding to a spill during the emergency phase and alleviating immediate danger to human life, health, safety, or property.

Handle: To transfer, transport, pump, treat, process, store, dispose of, drill for, or produce.

Harmful Quantity Of Oil: The presence of oil from an unauthorized discharge in a quantity sufficient either to create a visible film or sheen upon or discoloration of the surface of the water or a shoreline, tidal flat, beach, or marsh, or to cause a sludge or emulsion to be deposited beneath the surface of the water or on a shoreline, tidal flat, beach, or marsh.

Hazardous Material: Any nonradioactive solid, liquid, or gaseous substance which, when uncontrolled, may be harmful to humans, animals, or the environment. Including but not limited to substances otherwise defined as hazardous wastes, dangerous wastes, extremely hazardous wastes, oil, or pollutants.

Hazardous Substance: Any substance designed as such by the Administrator of the EPA pursuant

to the Comprehensive Environmental Response, Compensation, and Liability Act; regulated pursuant to Section 311 of the Federal Water Pollution Control Act, or discharged by the SERC.

Hazardous Waste: Any solid waste identified or listed as a hazardous waste by the Administrator of the EPA pursuant to the federal Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act (RCRA), 42 U.S.C., Section 6901, et seq as amended. The EPA Administrator has identified the characteristics of hazardous wastes and listed certain wastes as hazardous in Title 40 of the Code of Federal Regulations, Part 261, Subparts C and D respectively.

HAZMAT: Hazardous materials or hazardous substances, exposure to which may result in adverse effects on health or safety of employees.

HAZWOPER: Hazardous Waste Operations and Emergency Response Regulations published by OSHA to cover worker safety and health aspects of emergency response.

Heat Stress: Dangerous physical condition caused by over exposure to extremely high temperatures.

Hypothermia: Dangerous physical condition caused by over exposure to freezing temperatures.

Incident: Any event that results in a spill or release of oil or hazardous materials. Action by emergency service personnel may be required to prevent or minimize loss of life or damage to property and/or natural resources.

Incident Action Plan: The incident action plan, which is initially prepared at the first meeting, contains general control objectives reflecting the overall incident strategy.

Incident Briefing Meeting: Held to develop a comprehensive, accurate, and up-to-date understanding of the incident, nature of status of control operations, and nature and status of response operations; ensure the adequacy of control and response operations; begin to organize control and response operations; and prepare for interactions with outside world.

Incident Command Post (ICP): That location at which all primary command functions are executed.

Incident Command System (ICS): The combination of facilities, equipment, personnel, procedures, and communications operating within a common organizational structure, with responsibility for the management of assigned resources at an incident.

Incident Commander (IC): The one individual in charge at any given time of an incident. The Incident Commander will be responsible for establishing a unified command with all on-scene coordinators.

Indian Tribe: As defined in OPA section 1001, means any Indian tribe, band, nation, or other organized group or community, but not including any Alaska Native regional or village corporation, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians and has governmental authority over lands belonging to or controlled by the Tribe.

Initial Cleanup: Remedial action at a site to eliminate acute hazards associated with a spill. An initial clean-up action is implemented at a site when a spill of material is an actual or potentially imminent threat to public health or the environment, or difficulty of cleanup increases significantly without timely remedial action. All sites must be evaluated to determine whether initial cleanup is total cleanup, however, this will not be possible in all cases due to site conditions (i.e., a site where overland transport or flooding may occur).

Initial Notification: The process of notifying necessary Company personnel and Federal/ State/Local agencies that a spill has occurred, including all pertinent available information surrounding the incident.

Initial Response Actions: The immediate actions that are to be taken by the spill observer after detection of a spill.

Inland Area means the area shoreward of the boundary lines defined in 46 CFR part 7, except that in the Gulf of Mexico, it means the area shoreward of the lines of demarcation (COLREG lines) as defined in §80.740 through 80.850 of this chapter. The inland area does not include the Great Lakes.

Inland Waters: State waters not considered coastal waters; lakes, rivers, ponds, streams, underground water, et. al.

Inland Zone: Means the environment inland of the coastal zone excluding the Great Lakes, and specified ports and harbors on inland rivers. The term inland zone delineates an area of federal responsibility for response action. Precise boundaries are determined by EPA/USCG agreements and identified in federal regional contingency plans.

Integrated Contingency Plan – A plan that consolidates emergency preparedness and response procedures into one document for 1) multiple locations within a company or 2) satisfies multiple regulatory agencies to bodies with a singular document.

Interim Storage Site: A site used to temporarily store recovered oil or oily waste until the recovered oil or oily waste is disposed of at a permanent disposal site. Interim storage sites include trucks, barges, and other vehicles, used to store waste until the transport begins.

Lead Agency: The government agency that assumes the lead for directing response activities.

Lead Federal Agency: The agency which coordinates the federal response to incident on navigable waters. The lead federal agencies are:

- **U.S. Coast Guard:** Oil and chemically hazardous materials incidents on navigable waters.
- **Environmental Protection Agency:** Oil and chemically hazardous materials incidents on inland waters.

Lead State Agency: The agency which coordinates state support to federal and/or local governments or assumes the lead in the absence of federal response.

Loading: Transfer from Facility to vehicle.

Local Emergency Planning Committee (LEPC): A group of local representatives appointed by the State Emergency Response Commission (SERC) to prepare a comprehensive emergency plan for the local emergency planning district, as required by the Emergency Planning and Community Right-to-know Act (EPCRA).

Local Government: Any metropolitan, municipal, city, town, village, or other political subdivision of the State or Province, and any Indian tribe or authorized tribal organization.

Local Response Team: Designated Facility individuals who will fulfill the roles determined in the oil spill response plan in the event of an oil or hazardous substance spill. They will supervise and control all response and clean-up operations.

Lower Explosive Limit: Air measurement utilized to determine the lowest concentration of vapors that support combustion. This measurement must be made prior to entry into a spill area.

Marinas: Small harbors with docks, services, etc. for pleasure craft.

Marine Transportation Related Facility (MTR Facility): An onshore facility, including piping and any structure used to transfer oil to or from a vessel, subject to regulation under 33 CFR Part 154 and any deepwater port subject to 33 CFR Part 150.

Medium Discharge: Means a discharge greater than 2,100 gallons (50 Bbls) and less than or equal to 36,000 gallons (85+ Bbls) or 10% of the capacity of the largest tank, whichever is less and not to exceed the WCD.

National Contingency Plan: The plan prepared under the Federal Water Pollution Control Act (33 United State Code §1321 et seq) and the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (42 United State Code § 9601 et seq), as revised from time to time.

National Pollution Funds Center (NPFC): Means the entity established by the Secretary of Transportation whose function is the administration of the Oil Spill Liability Trust Fund (OSLTF). Among the NPFC's duties are: providing appropriate access to the OSLTF for federal agencies and states for removal actions and for federal trustees to initiate the assessment of natural resource damages; providing appropriate access to the OSLTF for claims; and coordinating cost recovery efforts.

National Response System (NRS): Is the mechanism for coordinating response actions by all levels of government in support of the OSC. The NRS is composed of the NRT, RRTs, OSC, Area Committees, and Special Teams and related

support entities.

National Strike Force (NSF): Is a special team established by the USCG, including the three USCG Strike Teams, the Public Information Assist Team (PIAT), and the National Strike Force Coordination Center. The NSF is available to assist OSCs in their preparedness and response duties.

National Strike Force Coordination Center (NSFCC): Authorized as the National Response Unit by CWA section 311(a)(23) and (j)(2), means the entity established by the Secretary of the department in which the USCG is operating at Elizabeth City, North Carolina, with responsibilities that include administration of the USCG Strike Teams, maintenance of response equipment inventories and
logistic networks, and conducting a national exercise program.

Natural Resource: Land, fish, wildlife, biota, air, water, ground water, drinking water supplies, and other such resources belonging to, managed by, held in trust by, appertaining to or otherwise controlled by the state, federal government, private parties, or a municipality.

Navigable Waters: As defined by 40 CFR 110.1 means the waters of the United States, including the territorial seas. The term includes:

All waters that are currently used, were used in the past, or may be susceptible to use in interstate or foreign commerce, including all waters that are subject to the ebb and flow of the tide;

Interstate waters, including interstate wetlands;

All other waters such as interstate lakes, rivers, streams (including intermittent streams), mudflats, sandflats, and wetlands, the use, degradation, or destruction of which would affect or could affect interstate or foreign commerce including any such waters;

That are or could be used by interstate or foreign travelers for recreational or other purposes;

From which fish or shellfish are or could be taken and sold in interstate or foreign commerce; and

That are used or could be used for industrial purposes by industries in interstate commerce.

All impoundments of waters otherwise defined as navigable waters under this section;
Tributaries of waters identified in paragraphs (a) through (d) of this definition, including adjacent wetlands; and

Wetlands adjacent to waters identified in paragraphs (a) through (e) of this definition: Provided, that waste treatment systems (other than cooling ponds meeting the criteria of this paragraph) are not waters of the United States.

Waters of the United States do not include prior converted cropland. Notwithstanding the determination of an area's status as prior converted cropland by any other federal agency,
for the purposes of the Clean Water Act jurisdiction remains with EPA.

Nearshore Area: For OPA 90, the area extending seaward 12 miles from the boundary lines defined in 46 CFR Part 7, except in the Gulf of Mexico. In the Gulf of Mexico, it means the area extending seaward 12 miles from the line of demarcation defined in §80.740 - 80.850 of title 33 of the CFR.

Non-persistent or Group I Oil: A petroleum-based oil that, at the time of shipment, consists of hydrocarbon fractions:

1. At lease 50% of which by volume, distill at a temperature of 340 degrees C (645 degrees F);
2. At least 95% of which volume, distill at a temperature of 370 degrees C (700 degrees F).

Ocean: The open ocean, offshore area, and nearshore area as defined in this subpart.

Offshore area: The area up to 38 nautical miles seaward of the outer boundary of the nearshore area.

Oil or Oils: Naturally occurring liquid hydrocarbons at atmospheric temperature and pressure coming from the earth, including condensate and natural gasoline, and any fractionation thereof, including, but not limited to, crude oil, petroleum gasoline, fuel oil, diesel oil, oil sludge, oil refuse, and oil mixed with wastes other than dredged spoil. Oil does not include any substance listed in Table 302.4 of 40 CFR Part 302 adopted August 14, 1989, under Section 101(14) of the federal comprehensive environmental response, compensation, and

liability act of 1980, as amended by P. L. 99-499.

Oil Spill Liability Trust Fund: Means the fund established under section 9509 of the Internal Revenue Code of 1986 (26 U.S.C. 9509).

Oily Waste: Product contaminated waste resulting from a spill or spill response operations.
On-Scene Coordinator (OSC): Means the federal official predesignated by the EPA or the USCG to coordinate and direct response under subpart D.

On-site: Means the areal extent of contamination and all suitable areas in very close proximity to the contamination necessary for implementation of a response action.

Open Ocean: means the area from 38 nautical miles seaward of the outer boundary of the nearshore area, to the seaward boundary of the exclusive economic zone.

Owner or Operator: Any person, individual, partnership, corporation, association, governmental unit, or public or private organization of any character.

Persistent Oil: A petroleum-based oil that does not meet the distillation criteria for a non-persistent oil. For the purposes of this Appendix, persistent oils are further classified based on specific gravity as follows:

1. Group II specific gravity less than .85
2. Group III specific gravity between .85 and less than .95
3. Group IV specific gravity .95 and including 1.0
4. Group V specific gravity greater than 1.0

Plan Holder: The plan holder is the industry transportation related facility for which a response plan is required by federal regulation to be submitted by a vessel or facility's owner or operator.

Post Emergency Response: The portion of a response performed after the immediate threat of a release has been stabilized or eliminated and cleanup of the sites has begun.

Post Emergency: The phase of response operations conducted after the immediate threat of the release has been stabilized, and cleanup operations have begun.

Primary Response Contractors or Contractors: An individual, company, or cooperative that has contracted directly with the plan holder to provide equipment and/or personnel for the containment or cleanup of spilled oil.

Qualified Individual (QI): That person or entity who has authority to activate a spill cleanup contractors, act as liaison with the "On-Scene Coordinator" and obligate funds required to effectuate response activities.

Recreation Areas: Publicly accessible locations where social/sporting events take place.

Regional Response Team (RRT): The Federal response organization (consisting of representatives from selected Federal and State agencies) which acts as a regional body responsible for overall planning and preparedness for oil and hazardous materials releases and for providing advice to the OSC in the event of a major or substantial spill.

Remove or Removal: As defined by section 311(a)(8) of the CWA, refers to containment and removal of oil or hazardous substances from the water and shorelines or the taking of such other actions as may be necessary to minimize or mitigate damage to the public health or welfare (including, but not limited to, fish, shellfish, wildlife, public and private property, and shorelines and beaches) or to the environment. For the purpose of the NCP, the term also includes monitoring of action to remove discharge.

Response Activities: The containment and removal of oil from the water and shorelines, the temporary storage and disposal of recovered oil, or the taking of other actions as necessary to minimize or mitigate damage to public health or welfare, or the environment.

Response Contractors: Persons/companies contracted to undertake a response action to contain and/or clean up a spill.

Response Guidelines: Guidelines for initial response that are based on the type of product involved in the spill, these guidelines are utilized to determine clean-up methods and equipment.

Response Plan: A practical manual used by industry for responding to a spill. Its features include: (1) identifying the notifications sequence, responsibilities, response techniques, etc. in a easy to use format; (2) using decision trees, flowcharts, and checklists to ensure the proper response for spills with varying characteristics; and (3) segregating information needed during the

response from data required by regulatory agencies to prevent confusion during a spill incident.

Response Resources: All personnel and major items of equipment available, or potentially available, for assignment to incident tasks on which status is maintained.

Responsible Party: Any person, owner/operator, or facility that has control over an oil or hazardous substance immediately before entry of the oil or hazardous substance into the atmosphere or in or upon the water, surface, or subsurface land of the state.

Response Priorities: Mechanism used to maximize the effective use of manpower and equipment resources based upon their availability during an operational period.

Response Resources: All personnel and major items of equipment available, or potentially available, for assignment to incident tasks on which status is maintained.

Restoration: The actions involved in returning a site to its former condition.

Rivers and Canals: A body of water confined within the inland area that has a project depth of 12 feet or less, including the Intracoastal Waterway and other waterways artificially created for navigation.

Securing the Source: Steps that must be taken to stop discharge of oil at the source of the spill.

Sinking Agents: Means those additives applied to oil discharges to sink floating pollutants below the water surface.

Site Characterization: An evaluation of a cleanup site to determine the appropriate safety and health procedures needed to protect employees from identified hazards.

Site Conditions: Details of the area surrounding the facility, including shoreline descriptions, typical weather conditions, socioeconomic breakdowns, etc.

Site Safety and Health Plan: A site specific plan developed at the time of an incident that addresses:

- Safety and health hazard analysis for each operation.
- Personal protective equipment to be used.
- Training requirements for site workers.
- Medical surveillance requirements.
- Air monitoring requirements.
- Site control measures.
- Decontamination procedures.
- Emergency response procedures.
- Confined space entry procedures.

Site Security and Control: Steps that must be taken to provide safeguards needed to protect personnel and property, as well as the general public, to ensure an efficient clean-up operation.

Skimmers: Mechanical devices used to skim the surface of the water and recover floating oil. Skimmers fall into four basic categories (suction heads, floating weirs, oleophilic surface units, and hydrodynamic devices) which vary in efficiency depending on the type of oil and size of spill.

Snare Boom: Oil will adhere to the material of which this boom is made of and thus collect it.

Sorbents: Materials ranging from natural products to synthetic polymeric foams placed in confined areas to soak up small quantities of oil. Sorbents are very effective in protecting walkways, boat decks, working areas, and previously uncontaminated or cleaned areas.

Spill: An unauthorized discharge of oil or hazardous substance into the waters of the state.

Spill Management Team (SMT): The personnel assigned within the organizational structure to manage response plan implementation.

Spill Observer: The first Facility individual who discovers a spill. This individual must function as the first responder and person-in-charge until relieved by an authorized supervisor.

Spill of National Significance (SONS): Means a spill which due to its severity, size, location, actual or potential impact on the public health and welfare or the environment, or the necessary response effort, is so complex that it requires extraordinary coordination of federal, state, local, and responsible party resources to contain and cleanup the discharge.

Spill Management Team (SMT): The personnel assigned within the organizational structure to manage response plan implementation.

Spill Response: All actions taken in responding to spills of oil and hazardous materials, e.g.: receiving and making notifications; information gathering and technical advisory phone calls; preparation for and travel to and from spill sites; direction of clean-up activities; damage assessments; report writing, enforcement investigations and actions; cost recovery; and program development.

Spill Response Personnel: Federal, state, local agency, and industry personnel responsible for participating in or otherwise involved in spill response. All spill response personnel will be pre-approved on a list maintained in each region.

Staging Areas: Designated areas near the spill site accessible for gathering and deploying equipment and/or personnel.

State Emergency Response Commission (SERC): A group of officials appointed by the Governor to implement the provisions of Title III of the Federal Superfund Amendments and Re-authorization Act of 1986 (SARA). The SERC approves the State Oil and Hazardous Substance Discharge Prevention and Contingency Plan and Local Emergency Response Plans.

Surface Collecting Agents: Means those chemical agents that form a surface film to control the layer thickness of oil.

Surface Washing Agent: Is any product that removes oil from solid surfaces, such as beaches and rocks, through a detergency mechanism and does not involve dispersing or solubilizing the oil into the water column.

Tanker: A self-propelled tank vessel constructed or adapted primarily to carry or hazardous material in bulk in the cargo spaces.

Tidal Current Tables: Tables which contain the predicted times and heights of the high and low waters for each day of the year for designated areas.

Trajectory Analysis: Estimates made concerning spill size, location, and movement through aerial surveillance or computer models.

Transfer: Any movement of oil to, from, or within a vessel by means of pumping, gravitation, or displacement.

Trustee: Means an official of a federal natural resources management agency designated in subpart G of the NCP or a designated state official or Indian tribe or, in the case of discharges covered by the OPA, a foreign government official, who may pursue claims for damages under section 1006 of the OPA.

Underwriter: An insurer, a surety company, a guarantor, or any other person, other than an owner or operator of a vessel or facility, that undertakes to pay all or part of the liability of an owner or operator.

Unified Command: The method by which local, state, and federal agencies and the responsible party will work with the Incident Commander to:

- Determine their roles and responsibilities for a given incident.
- Determine their overall objectives for management of an incident.
- Select a strategy to achieve agreed-upon objectives.
- Deploy resources to achieve agreed-upon objectives.

Unified or Coordinated Command Meeting: Held to obtain agreement on strategic objectives and response priorities; review tactical strategies; engage in joint planning, integrate response operations; maximize use of resources; and minimize resolve conflicts.

USCG Sector: is a shore-based operational unit of the United States Coast Guard. Each Sector is responsible for the execution of all Coast Guard missions within its Area of Responsibility (AOR) with operational support from Coast Guard Cutters and Air Stations. Sub-units of a Sector include Stations and Aids to Navigation Teams. Some Sectors also have sub-units such as Sector Field Offices and Marine Safety Units that are responsible for mission execution in part of the Sector's AOR. There are 35 sectors in nine districts and two areas.

Volunteers: An individual who donates their services or time without receiving monetary compensation.

Waste: Oil or contaminated soil, debris, and other substances removed from coastal waters and adjacent waters, shorelines, estuaries, tidal flats, beaches, or marshes in response to an unauthorized discharge. Waste means any solid,

liquid, or other material intended to be disposed of or discarded and generated as a result of an unauthorized discharge of oil. Waste does not include substances intended to be recycled if they are in fact recycled within 90 days of their generation or if they are brought to a recycling facility within that time.

Waters of the U.S. - See Navigable Waters.

Wetlands: Those areas that are inundated or saturated by surface or groundwater at a frequency or duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include playa lakes, swamps, marshes, bogs, and similar areas such as sloughs, prairie potholes, wet meadows, prairie river overflows, mudflats, and natural ponds (40 CFR 112.2(y)).

Wildlife Rescue: Efforts made in conjunction with Federal and State agencies to retrieve, clean, and rehabilitate birds and wildlife affected by an oil spill.

Worst Case Discharge: The largest foreseeable discharge under adverse weather conditions. For facilities located above the high water line of coastal waters, a worst case discharge includes those weather conditions most likely to cause oil discharged from the facility to enter coastal waters.

GLOSSARY OF TERMS & ACRONYMS
ACRONYMS

AC - Area Committee
ACP - Area Contingency Plan
AOR - Area of Review
AQI - Alternate Qualified Individual
BBLS - Barrels
BIA - Bureau of Indian Affairs
BLM - Bureau of Land Management
BPD - Barrels Per Day
BOD - Biological Oxygen Demand
BOM - Bureau of Mines
CAER - Community Awareness and Emergency Response
CEPA - Canadian Environment Protection Act
CERCLA - Comprehensive Environmental Response, Compensation and Liability Act
CFR - Code of Federal Regulations
CHEMTREC - Chemical Transportation Emergency Center
COE - U. S. Army Corps of Engineers
COSEWIC - Commission on the Status of Endangered Wildlife in Canada
CPI - Corrugated Plate Interceptor
CRZ - Contamination Reduction Zone
CSST - Commission of Health and Safety at Work (Commission de la Santé et Sécurité au Travail)
CWA - Clean Water Act (Federal - Public Law 100-4)
CWS - Community Water System
CZM - Coastal Zone Management
DECON - Decontamination
DOC - Department of Commerce
DOD - Department of Defense
DOE - Department of Energy
DOI - Department of Interior
DOJ - Department of Justice
DOL - Department of Labor
DOS - Department of State
DOT - Department of Transportation
DRAT - District Response Advisory Team
DRG - District Response Group
DWT - Dead Weight Tonnage
EBS - Emergency Broadcast System
EHS - Extremely Hazardous Substance
EMA - Emergency Management Agency
EMS - Emergency Medical Service
EOC - Emergency Operations Center
EPA - U. S. Environmental Protection agency
EPCRA - The Emergency Planning and Right-to-Know Act of 1986 (Title III of SARA)
EQ - Environmental Quality
ERT - Environmental Response Team
ESA - Endangered Species Act
ESD - Emergency Shutdown Device
ETA - Estimated Time of Arrival
FAA - Federal Aviation Administration
FACT - First Assessment Crisis Team
FAX - Facsimile Machine
FCC - Federal Communications Commission
FEMA - Federal Emergency Management Agency
FOSC - Federal On-Scene Coordinator
FR - Federal Register
FRDA - Freshwater Resource Damage Assessment
FRF - Federal Revolving Fund
GIS - Geographic Information System
GSA - General Services Administration
HAZWOPER - Hazardous Waste Operations and Emergency Response
HHS - Department of Health and Human Services
HOPD - Head Office Products Distribution
IBRRC - International Bird Rescue Research Center
IC - Incident Commander
IOCC - Interstate Oil Compact Commission
LEL - Lower Explosive Limit
LEPC - Local Emergency Planning Committee
LFL - Lower Flammable Limit

LOSC - Local On-Scene Coordinator
LRT - Local Response Team
MAPAQ - Quebec Department of Agriculture, Fisheries and Food
m^3/sec - Cubic Meters per Second
MDDELCC - Ministère du Développement durable, de l'Environnement et de la Lutte contre les changements climatiques
MENV - **Quebec Ministry of Environment**
MSRC - Marine Spill Response Corporation
MMS - Minerals Management Service
MMT - Marine Management Team
MOU - Memorandum of Understanding
MSDS - Material Safety Data Sheet
MBL - Mobile
MER - Marine Emergency Response
NCP - National Contingency Plan
NCWS - Non-Community Water System
NEB - **National Energy Board**
NEPA - National Environmental Policy Act
NIOSH - National Institute for Occupational Safety and Health
NMFS - National Marine Fisheries Service
NOAA - National Oceanic and Atmospheric Administration (Department of Commerce)
NPDES - National Pollution Discharge Elimination System
NPFC - National Pollution Funds Center
NPS - National Park Service
NRC - National Response Center
NRDA - Natural Resource Damage Assessment
NRS - National Response System
NRT - National Response Team
NSF - National Strike Force
NSFCC - National Strike Force Coordination Center
NTNCWS - Non -Transient Non-Community Water System
OPA - Oil Pollution Act
OPS - Office of Pipeline Safety (DOT)
OSC - On-Scene Coordinator
OSHA - Occupational Safety and Health Administration (USDL)
OSLTF - Oil Spill Liability Trust Fund
OSPRA - Oil Spill Prevention and Response Act
OSRO - Oil Spill Response Organization
PCB - Polychlorinated Biphenyls
PFD - Personal Flotation Device
PGR - Pager
PIAT - Public Information Assist Team
PMPL - Portland Pipe Line Corporation
PNGTS - Portland Natural Gas Transmission System
POLREP - Pollution Report
PPE - Personal Protective Equipment
PPM - Parts Per Million
PSD - Prevention of Significant Deterioration
QI - Qualified Individual
RACT - Reasonably Achievable Control Technology
RCP - Regional Contingency Plan
RCRA - Resource Conservation and Recovery Act
RECON - Reconnaissance
REET - **Regional Environmental Emergency Team**
REP - Radiological Emergency Preparedness
RERT - Radiological Emergency Response Team
RQ - Reportable Quantity
RRT - Regional Response Team
RSPA - Research and Special Programs Administration (DOT - OPS)
SARA - Superfund Amendments and Reauthorization Act
SCBA - Self Contained Breathing Apparatus
SDWA - Safe Drinking Water Act
SERC - State Emergency Response Commission
SIC - State Implementation Plan
SMT - Spill Management Team
SONS - Spill of National Significance
SOP - Standard Operating Procedure
SPCC - Spill Prevention Control and Countermeasures
SSC - Scientific Support Coordinator (NOAA)

STEL - Short Term Exposure Limits

SUPSALV - United States Navy Supervisor of Salvage

SWD - Salt Water Disposal

TSB - Transportation Safety Board

TSCA - Toxic Substances Control Act

TSDF - Treatment, Storage or Disposal Facility

UCS - Unified Command System

USACOE - U.S. Army Corps of Engineers

USCG - U.S. Coast Guard

USDA - U.S. Department of Agriculture

USDL - U.S. Department of Labor

USDOD - U.S. Department of Defense

USDOE - U.S. Department of Energy

USDW - Underground Source of Drinking 'Water

USFWS - U. S. Fish and Wildlife Services

USGS - U. S. Geological Survey

WCD - Worst Case Discharge

WHMIS - Workplace Hazardous Materials Information System

APPENDIX M

RESPONSE PLAN COVER SHEET

US ONLY

Response Plan Cover Sheet

General Information	
Owner/Operator of Facility	Portland Pipe Line Corporation
Facility Name:	South Portland Marine Terminal and Tank Farm
Facility's Physical Address:	30 Hill Street South Portland, ME 04106-2590
Facility Acreage:	Tank Farm: 101.60 Acres Marine Terminal: 26.85 Acres
Facility Phone Number:	(207) 767-3231 or 1-866-253-7351 (207) 767-0411 FAX
(b) (7)(F) [redacted]	
Dun & Bradstreet Number:	006949416
Standard Industrial Classification (SIC) Code:	4612
Number of Aboveground Oil Storage Tanks: ***(23 crude tanks; 1 fuel oil tank)***	24
Number of Oil Containing Drum and Transformer:	(b) (7)(F) [redacted]
Capacity of Largest Aboveground Oil Storage Tank:	
Maximum Oil Storage Capacity:	
Worst Case Oil Discharge Amount:	
Facility Distance to Navigable Water:	☒ 0 – ¼ mile ☐ ½ - 1 mile ☐ ¼ - ½ mile ☐ >1 mile
Protected Waterways or Environmentally Sensitive Areas:	Fore River, Portland Harbor, and Casco Bay

CERTIFICATION OF THE APPLICABILITY OF THE SUBSTANTIAL HARM CRITERIA

FACILITY NAME: South Portland Marine Terminal and Tank Farm
FACILITY ADDRESS: 30 Hill Street
South Portland, ME 04106-2590

1. Does the facility transfer oil over water to or from vessels ***and*** does the facility have a total oil storage capacity greater than or equal to 42,000 gallons?

YES ✓ NO

2. Does the facility have a total oil storage capacity greater than or equal to 1 million gallons ***and*** does the facility lack secondary containment that is sufficiently large to contain the capacity of the largest aboveground oil storage tank plus sufficient freeboard to allow for precipitation within any aboveground oil storage tank area?

YES NO ✓

3. Does the facility have a total oil storage capacity greater than or equal to 1 million gallons ***and*** is the facility located at a distance (as calculated using the appropriate formula in Attachment C-III to this appendix or a comparable formula[1]) such that a discharge from the facility could cause injury to fish and wildlife and sensitive environments? For further description of fish and wildlife and sensitive environments, see Appendices I, II, and III to DOC/NOAA's "Guidance for Facility and Vessel Response Plans: Fish and Wildlife and Sensitive Environments" (59 FR 14713, March 29, 1994) and the applicable Area Contingency Plan.

YES ✓ NO

4. Does the facility have a total oil storage capacity greater than or equal to 1 million gallons ***and*** is the facility located at a distance (as calculated using the appropriate formula in Attachment C-III to this appendix or a comparable formula [1]) such that a discharge from the facility would shut down a public drinking water intake[2]?

YES NO ✓

5. Does the facility have a total oil storage capacity greater than or equal to 1 million gallons ***and*** has the facility experienced a reportable oil spill in an amount greater than or equal to 10,000 gallons within the last 5 years?

YES NO ✓

CERTIFICATION
I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document, and that based on my inquiry of those individuals responsible for obtaining this information, I believe that the submitted information is true, accurate, and complete.

T.A. Hardison — Signature
President — Title
T.A. Hardison — Name (please type or print)
January 21, 2016 — Date

[1] If a comparable formula is used, documentation of the reliability and analytical soundness of the comparable formula must be attached to this form.

[2] For the purposes of 40 CFR part 112, public drinking water intakes are analogous to public water systems as described at 40 CFR 143.2(c).